

KYC Information - Topco

The relevant KYC forms included below as well as any supplementary verification and/or evidence (where necessary as detailed below) must be provided to Maples, as Topco Administrative Agent (at Chelsea.Mason@maples.com) before 6pm on 7 February 2025.

1. KYC Requirements

1.1 For any individuals that will hold a minority interest (less than 10%) in the Topco:

Completed Minority Entity Details Form – Individuals (Minority) – See Annex A

1.2 For any individuals that will hold an interest of 10% or greater in the Topco:

- (A) Completed Entity Details Form – Individuals – See Annex B;
- (B) Certified copy of photographic identification – see below for list of acceptable documents and certification requirements; and
- (C) Certified copy of proof of address – see below for list of acceptable documents and certification requirements.

1.3 For any companies / partnership that will hold a minority interest (less than 10%) in the Topco:

- (A) Companies: Completed Minority Entity Details Form – Companies (Minority) – See Annex C – no KYC would be required to accompany the form.
- (B) Partnerships: Completed Entity Details Form – Partnerships – See Annex D – no KYC would be required to accompany the form.

1.4 For any companies / partnership that will hold an interest of 10% or greater in the Topco:

- (A) Companies:
 - (i) Completed Entity Details Form – Companies – See Annex E; and
 - (ii) Verification of Identity KYC – see page 6 of the Companies Entity Details Form for full details of the required documentation.
- (B) Partnerships:
 - (i) Completed Entity Details Form – Partnerships – See Annex D; and
 - (ii) Verification of Identity KYC – see page 4 of the Partnerships Entity Details Form for full details of the required documentation.

2. Points to Note in relation to the above

2.1 Source of Funds: In the source of funds section of each form please just note that the shares will be issued in exchange for your existing Loungers shares as part of the consideration due under the Loungers Scheme of Arrangement.

2.2 Identification documentation (only one is required):

- (A) A certified* copy of their current passport; or
- (B) A certified* copy of their driving licence (which must contain their photograph); or
- (C) A certified* copy of their national identity card (which must contain their photograph).

2.3 Address Verification - (only one is required):

- (A) A certified* copy of their utility bill (less than 3 months old); or
- (B) A certified* copy of their bank statement (less than 3 months old); or
- (C) A certified* copy of their correspondence (less than 3 months old) from a government department/agency/tax office; or
- (D) A certified* copy of a letter of introduction from a regulated financial services provider in an equivalent jurisdiction; or
- (E) A certified* copy of their tenancy contract or agreement; or
- (F) A certified* copy of their Photo-ID (driving licence or national ID card) provided it is not also being used to prove identity (above).

2.4 Certification requirements

- (A) Suitable certifiers are as follows:
 - (i) Lawyer, actuary or accountant who must be a current member of a relevant professional body
 - (ii) A member of the judiciary, a senior civil servant or a serving police or customs officer
 - (iii) An officer of an embassy, consulate or high commission of the country or territory of issue of documentary evidence of identity
 - (iv) An individual who is a member of a professional body that sets and enforces ethical standards

- (v) A director, officer or manager of a regulated financial services business in an equivalent jurisdiction.
- (B) Certifier must include the following words:
 - (i) Photo ID certification: *"I, (name of certifier), hereby certify that I have met (name of individual) and this is a true and accurate copy of the relevant part of their identification document, the original of which I have seen. Furthermore, I certify that the photograph contained therein bears a true likeness to the individual concerned."*
 - (ii) Address Verification Certification: *"I, (name of certifier), hereby certify that this is a true and accurate copy of this document, the original of which I have seen."*
- (C) Certifier must include the following details for himself: (a) Name; (b) Capacity/Position; (c) Organisation; (d) Organisation address; (e) Email address; (f) Telephone number; (g) Date.
- (D) Certification must be signed using DocuSign or in wet-ink (in which case the wet-ink originals must be provided to Maples).
- (E) A copy of our certification guidance notes is at Annex F. This includes a template form for certification of ID.

Annex A

Minority Entity Details Form – Individuals (Minority)

Annex B

Entity Details Form – Individuals

Annex C

Minority Entity Details Form – Companies (Minority)

Annex D

Entity Details Form – Partnerships

Annex E

Entity Details Form – Companies

Annex F

KYC Certification Guidance

Annex A

Minority Entity Details Form – Individuals (Minority)

Entity Details Form

Individuals (non-statutory/minority interest)

PLEASE NOTE: This form must only be used where the person has a minority interest (less than 10%) or holds a non-statutory role in connection with an administered entity. This form should not be used for directors or beneficial owners with a direct or indirect interest of greater than 10%. Alternative forms may be requested from MFJY@maples.com.

Depending upon the information provided, a representative of Maples may need to reach out for additional information or clarification.

A. Personal Details

Title (Mr/Mrs/Miss/Dr)*			
Family Name or Surname*			
Forenames*			
All other, previous or maiden names used* <i>(if none please state "none")</i>			
Nationality* <i>(if you have more than one nationality, please list all)</i>		Gender*	
		Place of Birth*	
Date of Birth*		Country of Birth*	
Occupation*			
Passport/Driving Licence/National Identity Number*		Issuing Agency and Country	

Do you or any of your immediate family or close associates hold (or have you or they ever held) a position as head of state or senior positions in any branch of any government, political party, judiciary, state owned organisations or armed forces, anywhere in the world?* <i>If yes, please provide further details, using a separate sheet if necessary.</i>	Yes	No
Details of any existing relationship(s) with Maples Jersey		

B. CONTACT DETAILS

Please provide your Principal Residential Address in all cases. <i>If you are a minority shareholder (between 1% and 10%) of a Jersey Company and you would prefer that your principal residential address does not appear on the public register of members, please also provide your usual business or correspondence address.</i>	Principal Residential Address*	Business/Correspondence Address <i>(delete as applicable)</i>
Indicate which address is to be used for the public register**	<input type="checkbox"/>	<input type="checkbox"/>

Contact Email Address/Telephone Number			
If you have a preferred method of contact, please indicate	<input type="checkbox"/> Email	<input type="checkbox"/> Telephone	<input type="checkbox"/> Post

C. SOURCE OF FUNDS

In line with Jersey laws and regulations, we are required to obtain details of the source of funds for the Investment. The “source of funds” is the activity that generates the funds for a customer, e.g. your occupation or business activities. Where the source of funds originates from a country or jurisdiction that is not your main residence, please provide details of the related jurisdictions.

	Source	Further Information (please complete)
<input type="checkbox"/>	Employment income <i>(provide further details e.g. name of employer, title, number of years of employment)</i>	
<input type="checkbox"/>	Personal savings or investments	
<input type="checkbox"/>	Loan or other financing <i>(please specify)</i>	
<input type="checkbox"/>	Other <i>(please describe in detail)</i>	

A representative of Maples may contact you to request evidence of the source of funds and in some circumstances further information concerning your source of wealth.

Maples reserves the right to request additional or updated evidence of the source of funds and / or source of wealth at any time.

D. DATA PROTECTION

Maples Fiduciary Services (Jersey) Limited (“**Maples Jersey**”) is regulated by the Jersey Financial Services Commission to conduct Trust Company Business, under the Financial Services (Jersey) Law 1998 (the “**FSJ**”). All entities subject to regulation under the FSJ are required to adhere to the Money Laundering (Jersey) Order 2008. As part of its obligations as a regulated business, Maples Jersey have a legitimate interest to process certain personal data about the individual customers/clients as well as the beneficial owners and controllers of, and certain parties connected to, the entities which Maples Jersey administer for its customers/clients (each a “**Customer Entity**”) in order to meet our legal and regulatory obligations under the laws of Jersey and to maintain our regulated status.

All individuals named on this form have, or will have, a relevant connection to a Customer Entity which requires us to process their personal data. The way in which we may legitimately process their personal data is subject to the principles established in the Data Protection (Jersey) Law 2018 (the “**DP Law**”) and the General Data Protection Regulation (EU) 2016/79 (“**GDPR**”).

The specific rights and additional information on how we may process their data are set out in the Privacy Notice on the Maples Group website at <https://maples.com/Footer/privacy/>. Please ensure that each individual named in this form is provided with a copy or made aware of the content of the Privacy Notice.

E. DECLARATION

I hereby confirm that:

- The above particulars are true, correct and complete to the best of my knowledge and belief;
- I have never been declared bankrupt or similar in any part of the world, nor have I been involved with a company that has been the subject of a compulsory or insolvent liquidation or a judicial enquiry;
- I have never been convicted of an offence, censured, disciplined, publicly criticised or adversely commented upon or subject to any ongoing regulatory or legal action which may have an adverse impact on my reputation, character, financial integrity and reliability;
- I have never been involved in any activities connected directly or indirectly with drugs trafficking, terrorism, tax fraud or money laundering;
- I am not serving a period of disqualification in any part of the world from holding the office of director or similar;
- I have read, and agree to be bound by the Maples Jersey Privacy Notice, any and all applicable Terms and Conditions (and any amended Terms and Conditions as published from time to time); and
- I hereby undertake to notify you as soon as possible (and no later than 30 days after becoming aware) should any of the information provided in this form change and of any changes to the circumstances that impact upon this declaration.

Signed _____

Date _____

Where you have completed and signed this form on behalf of the individual concerned, please provide the following additional details together with evidence of your authority to act:

Full Name	
Address	
Relationship to Individual or Official Capacity	

ONCE COMPLETED please return this form to: Maples Fiduciary Services (Jersey) Limited, 2nd Floor, Sir Walter Raleigh House, 48-50 Esplanade, St Helier, Jersey, JE2 3QB

Notes:

* Fields indicated with an asterisk (*) are mandatory.

** Pursuant to the Financial Services (Disclosure and Provision of Information) (Jersey) Regulations 2020, an individual (the "Subject") may request that their information be made unavailable for public inspection where such publication may:

- (i) cause threat or harm to the subject or any individual who lives with or is related to the Subject,
- (ii) there is a significant risk of damage or threat to property as a result of publication,
- (iii) if the Subject lacks capacity to manage his or her own affairs, or
- (iv) if there are exceptional circumstances relating to the Subject which would prevent publication

If any of (i) to (iv) apply and you would like Maples Jersey to make an application on your behalf to make your information unavailable, please advise your Maples Jersey contact when returning this completed form explaining the reasons why, taking into account (i) to (iv) above.

A representative of Maples Jersey may need to contact you in connection with the application should additional information be requested by the Registrar of Jersey Companies.

Annex B

Entity Details Form – Individuals

Entity Details Form

Individuals

A. Personal Details

Title (Mr/Mrs/Miss/Dr)			
Family Name or Surname			
Forename and Middle names			
All previous or maiden names <i>(where applicable)</i>			
Nationality <i>(if you have more than one nationality, please list all)</i>		Sex	
Date of Birth		Town and Country of Birth	
Occupation			
Passport Details:			
- Number		- Issuing Agency/Country	

Do you or any of your immediate family or close associates hold (or have you or they ever held) a position as head of state or senior positions in any branch of any government, political party, judiciary, state owned organisations or armed forces, anywhere in the world? <i>If yes, please provide further details, using a separate sheet if necessary.</i>	Yes	No
	Details of any existing relationship(s) with Maples Jersey	

B. CONTACT DETAILS

Please provide your principal residential address. <i>If you have a second residential address, or a requirement for a different mailing address please provide details</i>	Principal Residential Address	Second Residential Address/Mailing Address <i>Delete as appropriate</i>

Contact Email Address/Telephone Number	
If you have a preferred method of contact, please indicate	<input type="checkbox"/> Email <input type="checkbox"/> Telephone <input type="checkbox"/> Post

C. Automatic Exchange of Information (“AEOI”)

We are obliged under the AEOI to collect certain information about each account holder’s tax status. Please complete the sections below as directed and provide any additional information that is requested. Please note that we may be obliged to share this information with relevant tax authorities. Terms referenced in this Form shall have the same meaning as applicable under the relevant AEOI regulations.

If any of the information below regarding your tax residence or AEOI classification changes in the future, please ensure you advise us of these changes promptly, and provide updated information within 30 days of such change. If you have any questions about how to complete this Form, please contact your tax advisor.

i. Declaration of U.S. Citizenship or U.S. Residence for Tax Purposes

Please tick the relevant box below and complete as appropriate:

<input type="checkbox"/>	I confirm that I am a U.S. citizen and/or resident in the U.S. for tax purposes (green card holder or resident under the substantial presence test) and my U.S. Federal taxpayer identifying number (U.S. TIN) is as follows:	U.S. TIN:
<input type="checkbox"/>	I confirm that I was born in the U.S. (or a U.S. territory) but am no longer a U.S. citizen as I have voluntarily surrendered my citizenship as evidenced by the attached documents	Attach evidenced documentation:
<input type="checkbox"/>	I confirm that I am not a U.S. citizen or resident in the U.S. for tax purposes.	

ii. Declaration of Tax Residency (other than U.S.)

Please tick the relevant box below and complete as appropriate:

<input type="checkbox"/>	I confirm that I am UK resident for tax purposes and that my UK National Insurance Number is as follows:	UK National Insurance Number:
<input type="checkbox"/>	I confirm that I am not resident in the UK for tax purposes.	

If you are resident for tax purposes anywhere other than the above, or if you have multiple tax residencies including any of the above please list the country or countries in which you are resident for tax purposes, together with any Tax Reference number(s)/Tax Identification Number(s) ("TIN"), if relevant:

I hereby confirm that I am, for tax purposes, resident in the following countries (indicate the tax reference number type and number applicable in each country).

Country/Countries of Tax Residency	Tax Reference Number Type	Tax Reference Number <i>(if none available enter Reason A, B or C below)</i>

If a TIN is unavailable please provide the appropriate reason A, B or C where appropriate:

- Reason A – The country/jurisdiction where the Account Holder is resident does not issue TINs to its residents.
- Reason B – No TIN is required. [*Note: Only use this reason if the domestic law of the relevant jurisdiction does not require the collection of the TIN issued by such jurisdiction*].
- Reason C – The Account Holder is otherwise unable to obtain a TIN or equivalent number.

Please explain below why you are unable to provide a TIN if you have selected reason C above.

D. SOURCE OF FUNDS DECLARATION

In line with Jersey laws and regulations, we are required to obtain details of the source of funds where required. The “source of funds” is the activity that generates the funds for a customer, e.g. your occupation or business activities. Where the source of funds originates from a country or jurisdiction that is not your main residence, please provide details of the related jurisdictions.

	Source	Further Information (please complete)
	Employment income <i>(provide further details e.g. name of employer, title, number of years of employment)</i>	
	Personal savings or investments	
	Loan or other financing <i>(please specify)</i>	
	Other <i>(please describe in detail)</i>	

A representative of Maples may contact you to request evidence of the source of funds and in some circumstances further information concerning your source of wealth.

Maples reserves the right to request additional or updated evidence of the source of funds and / or source of wealth at any time.

E. VERIFICATION OF IDENTITY

In accordance with our legal and regulatory obligations, we are obliged to verify the information you have provided above, by reference to certain evidential documentation, which we have to retain on our files. Set out below are our initial requirements:

Please provide **one** of the following, indicating which document is attached:

- A certified* copy of your current passport;
- A certified* copy of your driving licence (which must contain your photograph)
- A certified* copy of your national identity card (which must contain your photograph)

Please provide **one** of the following original or original certified* copy documents showing your name and your principal residential address. Where the document is more than one page, please include all pages (tick as appropriate):

- A utility bill[§] (less than 3 months old)
 - A bank statement[†] (less than 3 months old)
 - Correspondence (less than 3 months old) from a government department/agency/tax office
 - A letter of introduction from a regulated financial services provider in a n equivalent* jurisdiction
 - A tenancy contract or agreement
- A Photo-ID (driving licence or national ID card) provided it is not also being used to prove identity (above)

Following review of the information provided in this form, a representative of Maples may contact you directly with a request to provide additional information.

Notes:

* The Maples certification requirements are set out on pages 5 and 6 of this document

§ A utility bill is an invoice issued by a company providing services using permanent infrastructure delivered to a fixed point (being the residential address in question, for example water, electricity, fixed line telephony etc.). Mobile telephone bills and invoices for goods or services delivered by road are not acceptable as proof of address. Also invoices issued for other services, such as medical, dental services etc, or statements for store cards and other such correspondence are not acceptable as proof of address.

† A bank statement is a statement of account issued by a high street or private bank which lists the transactions across your account for a particular period (demonstrating that the account is in use). Statements for dormant accounts, credit card statements, debit/credit notifications or advices are not acceptable even where addressed to you. Joint account statements are acceptable but can only be used for one of the parties (where both parties require verification). You may choose to obliterate the balance and the transaction values but all other information (such as the correspondence address, name and address of the bank, account number, sort code, date of statement etc.) must remain.

* The following are deemed to be equivalent jurisdictions:

- | | | |
|----------------|----------------------------------|----------------------------|
| Andorra | Hungary | Singapore |
| Austria | Ireland | Spain |
| Bahrain | Isle of Man | Sweden |
| Belgium | Italy | Taiwan (Republic of China) |
| Bermuda | Korea, Republic of (South Korea) | United Arab Emirates |
| Czech Republic | Malaysia | United Kingdom |
| Greece | Norway | United States |
| Hong Kong | Portugal | |
| Guernsey | Saudi Arabia | |

F. DATA PROTECTION

Maples Fiduciary Services (Jersey) Limited (“**Maples Jersey**”) is regulated by the Jersey Financial Services Commission to conduct Trust Company Business, under the Financial Services (Jersey) Law 1998 (the “**FSJ**”). All entities subject to regulation under the FSJ are required to adhere to the Money Laundering (Jersey) Order 2008. As part of its obligations as a regulated business, Maples Jersey have a legitimate interest to process certain personal data about the individual customers/clients as well as the beneficial owners and controllers of, and certain parties connected to, the entities which Maples Jersey administer for its customers/clients (each a “**Customer Entity**”) in order to meet our legal and regulatory obligations under the laws of Jersey and to maintain our regulated status.

All individuals named on this form have, or will have, a relevant connection to a Customer Entity which requires us to process their personal data. The way in which we may legitimately process their personal data is subject to the principles established in the Data Protection (Jersey) Law 2018 (the “**DP Law**”) and the General Data Protection Regulation (EU) 2016/79 (“**GDPR**”).

The specific rights and additional information on how we may process their data are set out in the Privacy Notice on the Maples Group website at <https://maples.com/Footer/privacy/>. Please ensure that each individual named in this form is provided with a copy or made aware of the content of the Privacy Notice.

G. DECLARATION

I hereby confirm that:

- I have never been declared bankrupt or similar in any part of the world, nor have I been involved with a company that has been the subject of a compulsory or insolvent liquidation or a judicial enquiry;
- I have never been convicted of an offence, censured, disciplined, publicly criticised or adversely commented upon or subject to any ongoing regulatory or legal action which may have an adverse impact on my reputation, character, financial integrity and reliability;
- I have never been involved in any activities connected directly or indirectly with drugs trafficking, terrorism, tax fraud or money laundering;
- I am not serving a period of disqualification in any part of the world from holding the office of director or similar;
- The above particulars are true, correct and complete to the best of my knowledge and belief, and I acknowledge that it is an offence to provide a self-certification that is false in a material particular;
- I am the Individual identified above, or am authorized to sign for the Individual, for all the accounts to which this form relates
- I understand that to the extent required under law:
 - the information regarding the Individual and the Individual’s account(s) may be reported to the authorities of the country(ies) in which the account(s) are maintained; and
 - those authorities may provide such information to the country(ies) of which the Individual Account Holder is a tax resident pursuant to intergovernmental agreements regarding the exchange of financial account information
- I confirm that I have read, and agree to be bound by the Maples Jersey Privacy Notice, any and all applicable Terms and Conditions (and any amended Terms and Conditions as published from time to time); and
- I hereby undertake to notify you as soon as possible (and no later than 30 days after becoming aware) should any of the information provided in this form change and of any changes to the circumstances that impact upon this declaration.

Signed

Date

Where you have completed and signed this form on behalf of the individual concerned please provide the following additional details:

Full Name	
Address	
Relationship to Individual or Official Capacity	

ONCE COMPLETED please return this form, together with your identity verification documentation (as set out in section E above), to: Maples Fiduciary Services (Jersey) Limited, 2nd Floor, Sir Walter Raleigh House, 48-50 Esplanade, St Helier, Jersey, JE2 3QB

CERTIFICATION OF DOCUMENTATION

If you are meeting with a representative of Maples prior to the establishment of the relationship you may bring the originals of these documents to that meeting and we will arrange for copies to be made (subject to the availability of reproduction facilities at the meeting venue). Please note that we are not able to take appropriate copies of ID documents where the individual concerned is not present.

If you are not meeting with a representative of Maples prior to the establishment of the relationship then you should arrange for a copy of the documents that are requested in section E of this form to be certified as set out below:-

Identity Documents (Passports, National ID Card, Driving Licence)

Wherever possible please ask your certifier to use the template printed on page 6 of this document to facilitate the certification of your identity documentation (such as your passport or national ID card). If you are unable to use the template, please ensure that the certifier uses the wording contained in the template or uses similar wording which confirms the following on face of the copy:-

That:

- the document is a true copy of the original
- they have seen the original document and the individual concerned at the same time
- that the likeness in the photograph bears a true likeness to the holder of the document.

The certifier should then provide their details as set out below. Such details may form part of an official stamp or seal but please ensure that all of the requested information is provided.

Corporate documents, Proof of Address, or any other certified evidence

Please ensure the wording below is copied onto the face of the copy documents that are provided:

"I hereby certify that this is a true and accurate copy of this document, the original of which I have seen."

The certifier should then provide their details as set out below. Such details may form part of an official stamp or seal but please ensure that all of the requested information is provided.

Details of the Certifier

Please then ensure the certifier includes the following information as part of their certification:

Full Name
Professional capacity and/or Rank
Organisation
Address
Telephone number or email address
Date

Who can certify my documents?

When providing copy documents these must be certified by a third party who is subject to professional rules of conduct. Suitable certifiers are determined by local legislators and can therefore vary from country to country. Examples of suitable certifiers include:

- a lawyer, actuary or accountant who must be a current member of a relevant professional body
- a member of the judiciary, a senior civil servant or a serving police or customs officer
- an officer of an embassy, consulate or high commission of the country or territory of issue of documentary evidence of identity
- an individual who is a member of a professional body that sets and enforces ethical standards
- a director, officer or manager of a regulated financial services business in an equivalent[†] jurisdiction.

Please note that we cannot accept documents which have been self-certified and attested by a notary public. Where the services of a notary public are used, and that notary public does not also meet the requirements of a suitable certifier (above) the notarisation will need to be legalized in accordance with the Hague Convention of 5 October 1961.

Method of Delivery

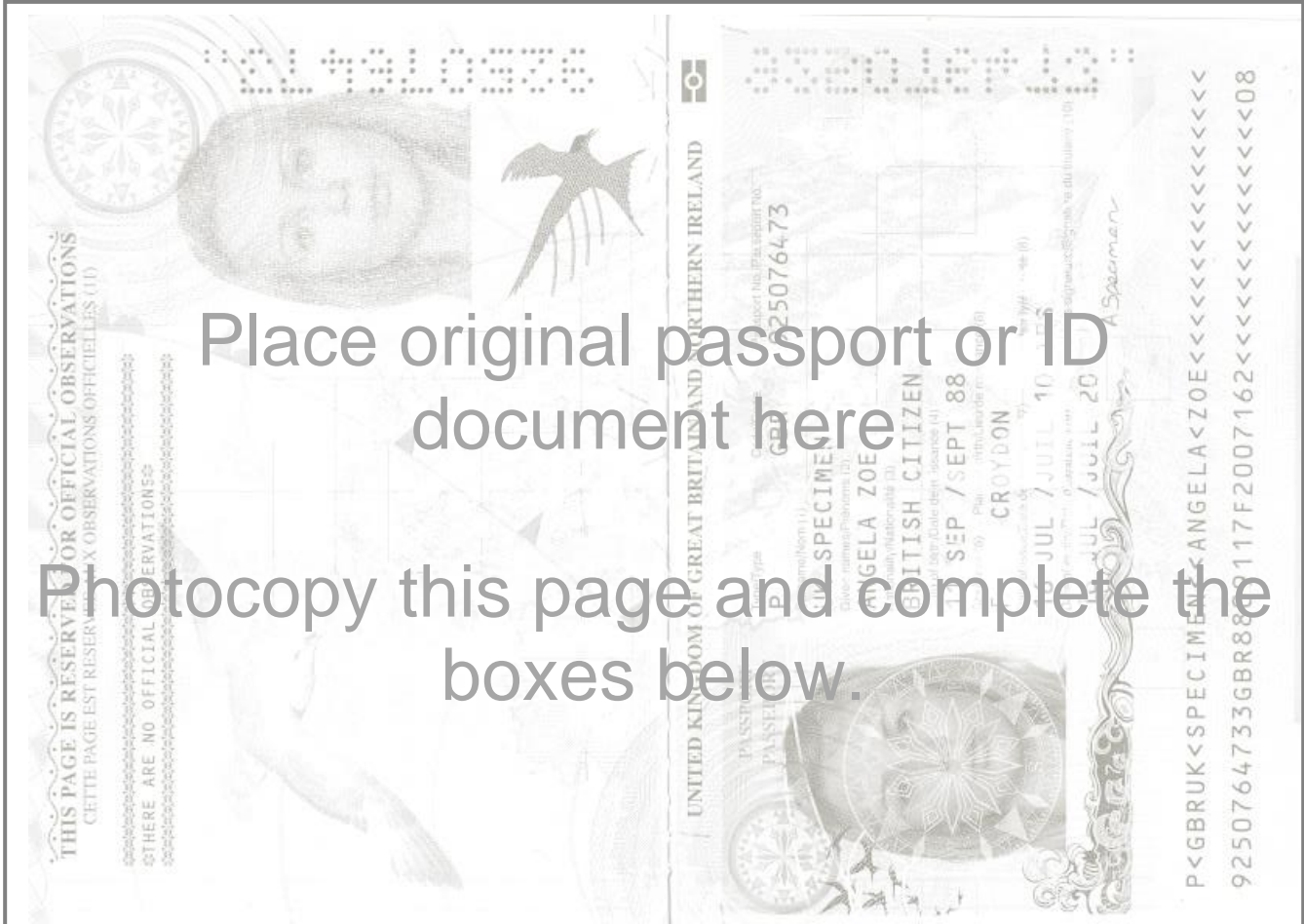
Under local regulations, we are required to have had sight of the original document or certified true copy bearing an original ("wet-ink") signature. We can accept digital certifications electronically as a wet-ink original **BUT ONLY** where the digital signature carries some form of digital certification, such as the use of AdobeSign, DocuSign or other proprietary electronic signature provider who meets the requirements set out in Regulation (EU) No 910/2014 ("eIDAS") on electronic identification and trust services for electronic transactions.

We are not able to accept electronic documents which only bear an image or photograph of the certifier's signature without also receiving an original signed ("wet-ink") copy by post.

TEMPLATE FOR CERTIFICATION OF IDENTIFICATION DOCUMENTS

Below is a template page to facilitate you providing a copy of your photographic identity document with the correct certification wording.

The copy must clearly show the passport or national ID number, signature and expiry date and any machine-readable code contained within the document. Please endeavor to use the certification page below to assist you in a successful submission. Please ensure the photocopy is a clear reproduction, with the photograph clearly visible.



I hereby certify that I have met _____ and this is a true and accurate copy of the relevant part of their identification document, the original of which I have seen. Furthermore, I certify that the photograph contained therein bears a true likeness to the individual concerned.

Certifier to complete:

Signature		Date Professional Seal or Stamp (if held):
Full Name		
Professional Capacity and/or Rank		
Organisation		
Address		
Telephone Number or Email Address		

Annex C

Minority Entity Details Form – Companies (Minority)

Entity Details Form

Legal Persons - Companies (non-statutory/minority interest)

PLEASE NOTE: This form must only be used where the legal person has a minority interest (less than 10%) or has a non-statutory connection with an administered entity. This form should not be used for shareholders or beneficial owners with a direct or indirect interest of greater than 10%. Alternative forms may be requested from MFJY@maples.com.

Depending upon the information provided, a representative of Maples may need to reach out for additional information or clarification.

A. Personal Details

Name of Company				
Type of Company	<input type="checkbox"/>	Private Company	<input type="checkbox"/>	Public Company <i>(shares are quoted on a regulated stock exchange or a wholly owned subsidiary)</i>
	<input type="checkbox"/>	Regulated ¹ Company <i>(in an equivalent jurisdiction* or a wholly owned subsidiary of a regulated company)</i>	<input type="checkbox"/>	Other <i>(please provide details)</i>
Date of Incorporation			Place of Incorporation	
Registration Number:				
Names of all directors or executive officers <i>(as appropriate)</i>				
Nature of business activities <i>(include details the jurisdictions where these are undertaken if different from the place of registration)</i>				
Do any of the directors, shareholders or beneficial owners (including their immediate family or close associates) of the company hold (or have you or they ever held) a position as head of state or senior positions in any branch of any government, political party, judiciary, state owned organisations or armed forces, anywhere in the world?* <i>If yes, please provide further details, using a separate sheet if necessary.</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No			
Details of any existing relationship(s) with Maples Jersey				

B. CONTACT DETAILS

Please provide the registered office address of the Company <i>If the company has a separate mailing address and/or place of business please also provide details</i>	Registered Office Address	Mailing address and/or Principal place of business/operations <i>(if different)</i>

¹ "Regulated" means prudential supervision for the provision of a financial services business, such as Investment Business, Fund Services Business (but not Investment Funds themselves), Banking Business or Trust and Company Services by an appropriate regulatory body. DNFBPs or other entities which are supervised for AML/CFT compliance only are not regarded as regulated for the purposes of this form.

Contact Telephone Number	
Contact Email Address	
If you have a preferred method of contact, please indicate	<input type="checkbox"/> Email <input type="checkbox"/> Telephone <input type="checkbox"/> Post

C. DATA PROTECTION

Maples Fiduciary Services (Jersey) Limited (“**Maples Jersey**”) is regulated by the Jersey Financial Services Commission to conduct Trust Company Business, under the Financial Services (Jersey) Law 1998 (the “**FSJ**”). All entities subject to regulation under the FSJ are required to adhere to the Money Laundering (Jersey) Order 2008. As part of its obligations as a regulated business, Maples Jersey has a legitimate interest to process certain personal data about the individual customers/clients as well as the beneficial owners and controllers of, and certain parties connected to, the entities which Maples Jersey administers for its customers/clients (each a “**Customer Entity**”) in order to meet our legal and regulatory obligations under the laws of Jersey and to maintain our regulated status.

All individuals named on this form have, or will have, a relevant connection to a Customer Entity which requires us to process their personal data. The way in which we may legitimately process their personal data is subject to the principles established in the Data Protection (Jersey) Law 2018 (the “**DP Law**”) and the General Data Protection Regulation (EU) 2016/79 (“**GDPR**”).

The specific rights and additional information on how we may process their data are set out in the Privacy Notice on the Maples Group website at <https://maples.com/Footer/privacy/>. Please ensure that each individual named in this form is provided with a copy or made aware of the content of the Privacy Notice.

D.  DECLARATION

I hereby confirm that:

- The above particulars are true, correct and complete to the best of my knowledge and belief;
- We have read, and agree to be bound by the Maples Jersey Privacy Notice, any and all applicable Terms and Conditions (and any amended Terms and Conditions as published from time to time); and
- We hereby undertake to notify you as soon as possible (and no later than 30 days after becoming aware) should any of the information provided in this form change and of any changes to the circumstances that impact upon this declaration.

Signed:	Signed:
Name:	Name:
Capacity:	Capacity:
Date:	Date:

ONCE COMPLETED please return this form to: Maples Fiduciary Services (Jersey) Limited, 2nd Floor, Sir Walter Raleigh House, 48-50 Esplanade, St Helier, Jersey, JE2 3QB

Notes:

* Fields indicated with an asterisk (*) are mandatory.

Annex D

Entity Details Form – Partnerships

Entity Details Form

Legal Person - Partnership

A. Entity Details

Name of Partnership				
Trading Name(s) <i>(where applicable)</i>				
Type of Partnership	<input type="checkbox"/>	Partnership	<input type="checkbox"/>	Limited Liability Partnership
	<input type="checkbox"/>	Limited Partnership	<input type="checkbox"/>	Other <i>(please provide details)</i>
Date of Formation			Jurisdiction of Formation	
Registration/Identification Number				
Name(s) of all partner(s) who participate in the management of the partnership <i>(where applicable, indicate whether the type of partnership interest i.e. General Partner, Special Limited Partner etc.)</i>				
Names of any individuals who are authorised to instruct on behalf of the Partnership <i>(only include details of those who are not included on any official authorised signatory list issued by the Partnership)</i>				
Nature of business activities <i>(including the jurisdictions where these are undertaken)</i>				
Details of any existing relationship(s) with the Maples Group				

B. Contact Details

Please provide the registered office address of the Partnership <i>If the partnership has a separate mailing address and/or place of business please also provide details</i>	Registered Office Address	Mailing address and/or Principal place of business/operations <i>(if different)</i>

Contact Telephone Number			
Contact Email Address			
If you have a preferred method of contact, please indicate	<input type="checkbox"/> Email	<input type="checkbox"/> Telephone	<input type="checkbox"/> Post

C. Beneficial Ownership and Control

We are required to obtain details of all general partners¹, any partners who are able to exercise control over the partnership² and any individual who has a direct or indirect interest in 10% or more of the capital (or voting rights) of the Partnership. Please complete the details of all such individuals below, continuing on a separate sheet if required.

Where individual interests are not held directly or where there are no individual partnership interests exceeding 10%, you must provide an explanation of the ownership in the box below. Please include the full names of any intermediate legal persons or arrangements and the jurisdiction of their establishment/incorporation **and** provide a certified* copy of the structure chart detailing the intermediate and ultimate beneficial ownership with this form.

Connected Party – Natural Persons

Full Legal Name (inc. Title)			
Principal Residential Address		All previous or maiden names <i>(where applicable)</i>	
Nationality <i>(if you have more than one nationality, please list all)</i>		Gender	
Date of Birth		Town and Country of Birth	
Passport Number		PEP³ status	<input type="checkbox"/> Yes / <input type="checkbox"/> No
Connection	<input type="checkbox"/> Ultimate Beneficial Owner / <input type="checkbox"/> Partner / <input type="checkbox"/> Controller / <input type="checkbox"/> Other <i>(please specify)</i>		

Full Legal Name (inc. Title)			
Principal Residential Address		All previous or maiden names <i>(where applicable)</i>	
Nationality <i>(if you have more than one nationality, please list all)</i>		Gender	
Date of Birth		Town and Country of Birth	
Passport Number		PEP⁴ status	<input type="checkbox"/> Yes / <input type="checkbox"/> No
Connection	<input type="checkbox"/> Ultimate Beneficial Owner / <input type="checkbox"/> Partner / <input type="checkbox"/> Controller <input type="checkbox"/> Other <i>(please specify)</i>		

For the avoidance of doubt, the details of at least two natural persons must be provided as controllers of the partnership in section C of this form as well as all partners who participate in the management of the partnership (i.e. all general or managing partners).

¹ Where the General Partner is a legal person then please provide the information about the General Partner on the continuation pages and provide the details of at least two individuals who are responsible for the management of the General Partner.

² If the partners who exercise control are legal persons, then please provide the information about the partner(s) on the continuation pages and provide the details of at least two individuals who are responsible for the management of the partner itself.

³ Answer yes if the UBO/controller or any of their immediate family or close associates holds (or has ever held) a position as head of state or senior positions in any branch of any government, political party, judiciary, state owned organisations or armed forces, anywhere in the world. If yes, please provide further details, using a separate sheet if necessary.

Connected Party – Legal Person/Arrangement

Entity Name			
Registered Office address		Date and Place of Incorporation/Registration/Establishment	
Official ID Number		PEP⁴ status	<input type="checkbox"/> Yes / <input type="checkbox"/> No
Names of all directors/partners or equivalent			
Connection	<input type="checkbox"/> General Partner / <input type="checkbox"/> Managing Partner / <input type="checkbox"/> Partner / <input type="checkbox"/> Other <i>(please specify)</i>		

Entity Name			
Registered Office address		Date and Place of Incorporation/Registration/Establishment	
Official ID Number		PEP⁴ status	<input type="checkbox"/> Yes / <input type="checkbox"/> No
Names of all directors/partners or equivalent			
Connection	<input type="checkbox"/> General Partner / <input type="checkbox"/> Managing Partner / <input type="checkbox"/> Partner / <input type="checkbox"/> Other <i>(please specify)</i>		

Provide any additional information below, including details of any relevant PEP connections or other high risk factors.

A continuation form is included at the rear of this form should you need to disclose additional beneficial owners or controllers.

D. Source of Funds

In line with Jersey laws and regulations, we are required to obtain details of the source of funds for the relationship with Maples. The “source of funds” is the activity that generates the funds for a customer, e.g. investment, loan or business activities. Where the source of funds originates from a country or jurisdiction that is not the same as the country of establishment or principal place of business, please provide details of the related jurisdictions.

A representative of Maples may contact you to request evidence of the source of funds and in some circumstances further information concerning the source of wealth.

Maples reserves the right to request additional or updated evidence of the source of funds and / or source of wealth at any time.

E. Verification of Identity

In accordance with our legal and regulatory obligations, we are obliged to verify the information you have provided above, by reference to certain evidential documentation, which we have to retain on our files. Set out below are our initial requirements:

- certified* list of the partners and/or signatories who are authorised to issue instructions of have ultimate effective control over the capital or assets of the partnership
- certified* copy of the certificate of registration (if applicable and if not available online)
- certified* copy of the partnership agreement to include any and all restatements
- certified* copy of the structure chart (where required – see Section D of this form)
- for any general or managing partner listed in Section C of this form who is not an individual:
 - if a company:
 - a certified copy of the certificate of incorporation
 - a certified copy of the memorandum and articles of association
 - if a partnership:
 - certified* list of the partners and/or signatories who are authorised to issue instructions of have ultimate effective control over the capital or assets of the partnership
 - certified* copy of the certificate of registration (if applicable and if not available online)
 - certified* copy of the partnership agreement to include any and all restatements
- for **all** individual beneficial owners and controllers or individual partners who participate in the management of this partnership and **all** individual beneficial owners or controllers of the general partner identified in Section C of this form together with any authorised signatories of the partnership:-
 - A certified* copy of their photographic ID (current passport, driving licence or national ID card)
 - An original, or a certified* copy of one of the following, showing their name and their principal residential address:
 - A utility bill[§] (less than 3 months old)
 - A bank statement[†] (less than 3 months old)
 - Correspondence (less than 3 months old) from a government department/agency/tax office
 - A letter of introduction from a regulated financial services provider in an equivalent[‡] jurisdiction
 - A tenancy contract or agreement
 - A Photo-ID (driving licence or national ID card) provided it is not also being used to prove identity (above)

Following review of the information provided in this form, a representative of Maples Jersey may contact you directly with a request to provide additional information.

F. Data Protection

Maples Fiduciary Services (Jersey) Limited (“**Maples Jersey**”) is regulated by the Jersey Financial Services Commission to conduct Trust Company Business, under the Financial Services (Jersey) Law 1998 (the “**FSJ**”). All entities subject to regulation under the FSJ are required to adhere to the Money Laundering (Jersey) Order 2008. As part of its obligations as a regulated business, Maples Jersey have a legitimate interest to process certain personal data about the individual customers/clients as well as the beneficial owners and controllers of, and certain parties connected to, the entities which Maples Jersey administer for its customers/clients (each a “**Customer Entity**”) in order to meet our legal and regulatory obligations under the laws of Jersey and to maintain our regulated status.

All individuals named on this form have, or will have, a relevant connection to a Customer Entity which requires us to process their personal data. The way in which we may legitimately process their personal data is subject to the principles established in the Data Protection (Jersey) Law 2018 (the “**DP Law**”) and the General Data Protection Regulation (EU) 2016/79 (“**GDPR**”).

The specific rights and additional information on how we may process their data are set out in the relevant Maples Group Privacy Notice which can be accessed at <https://maples.com/Footer/privacy/>. Please ensure that each individual named in this form is provided with a copy or made aware of the content of the Privacy Notice.

G. Declaration

I hereby confirm that:

- The above particulars are true and correct to the best of our knowledge and belief;
- We are authorised to sign for the Entity, for all the accounts to which this form relates, and we confirm that in completing this form we are acting as a principal and not as an agent or intermediary on behalf of others;
- We confirm that we have read, and agree to be bound by the applicable Terms and Conditions (and any amended Terms and Conditions as published from time to time); and
- we hereby undertake to notify you as soon as possible (and no later than 30 days after we become aware) should any of the information provided in this form change and of any changes to the circumstances that impact upon this declaration.

Signed:	Signed:
Name:	Name:
Capacity:	Capacity:
Date:	Date:

ONCE COMPLETED please return this form, together with your identity verification documentation (as set out in section E above), to: Maples Fiduciary Services (Jersey) Limited, 2nd Floor, Sir Walter Raleigh House, 48-50 Esplanade, St Helier, Jersey, JE2 3QB

Notes:

* The Maples certification requirements are set out on page 6 and 7 of this document

§ A utility bill is an invoice issued by a company providing services using permanent infrastructure delivered to a fixed point (being the residential address in question, for example water, electricity, fixed line telephony etc.). Mobile telephone bills and invoices for goods or services delivered by road are not acceptable as proof of address. Also invoices issued for other services, such as medical, dental services etc, or statements for store cards and other such correspondence are not acceptable as proof of address.

† A bank statement is a statement of account issued by a high street or private bank which lists the transactions across your account for a particular period (demonstrating that the account is in use). Statements for dormant accounts, credit card statements, debit/credit notifications or advices are not acceptable even where addressed to you. Joint account statements are acceptable but can only be used for one of the parties (where both parties require verification). You may choose to obliterate the balance and the transaction values but all other information (such as the correspondence address, name and address of the bank, account number, sort code, date of statement etc.) must remain.

* The following are deemed to be equivalent jurisdictions:

<p>Andorra Austria Bahrain Belgium Bermuda Czech Republic Greece</p>	<p>Hong Kong Guernsey Hungary Ireland Isle of Man Italy Korea, Republic of (South Korea)</p>	<p>Malaysia Norway Portugal Saudi Arabia Singapore Spain Sweden</p>	<p>Taiwan (Republic of China) United Arab Emirates United Kingdom United States</p>
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CERTIFICATION OF DOCUMENTATION

If you are meeting with a representative of Maples prior to the establishment of the relationship you may bring the originals of these documents to that meeting and we will arrange for copies to be made (subject to the availability of reproduction facilities at the meeting venue). Please note that we are not able to take appropriate copies of ID documents where the individual concerned is not present.

If you are not meeting with a representative of Maples prior to the establishment of the relationship then you should arrange for a copy of the documents that are requested in section E of this form to be certified as set out below:-

Identity Documents (Passports, National ID Card, Driving Licence)

Wherever possible please ask your certifier to use the template printed on page 7 of this document to facilitate the certification of your identity documentation (such as your passport or national ID card). If you are unable to use the template, please ensure that the certifier uses the wording contained in the template or uses similar wording which confirms the following on face of the copy:-

That:

- the document is a true copy of the original
- they have seen the original document and the individual concerned at the same time
- that the likeness in the photograph bears a true likeness to the holder of the document.

The certifier should then provide their details as set out below. Such details may form part of an official stamp or seal but please ensure that all of the requested information is provided.

Corporate documents, Proof of Address, or any other certified evidence

Please ensure the wording below is copied onto the face of the copy documents that are provided:

"I hereby certify that this is a true and accurate copy of this document, the original of which I have seen."

The certifier should then provide their details as set out below. Such details may form part of an official stamp or seal but please ensure that all of the requested information is provided.

Details of the Certifier

Please then ensure the certifier includes the following information as part of their certification:

Full Name
Professional capacity and/or Rank
Organisation
Address
Telephone number or email address
Date

Who can certify my documents?

When providing copy documents these must be certified by a third party who is subject to professional rules of conduct. Suitable certifiers are determined by local legislators and can therefore vary from country to country. Examples of suitable certifiers include:

- a lawyer, actuary or accountant who must be a current member of a relevant professional body
- a member of the judiciary, a senior civil servant or a serving police or customs officer
- an officer of an embassy, consulate or high commission of the country or territory of issue of documentary evidence of identity
- an individual who is a member of a professional body that sets and enforces ethical standards
- a director, officer or manager of a regulated financial services business in an equivalent[†] jurisdiction.

Please note that we cannot accept documents which have been self-certified and attested by a notary public. Where the services of a notary public are used, and that notary public does not also meet the requirements of a suitable certifier (above) the notarisation will need to be legalized in accordance with the Hague Convention of 5 October 1961.

Method of Delivery

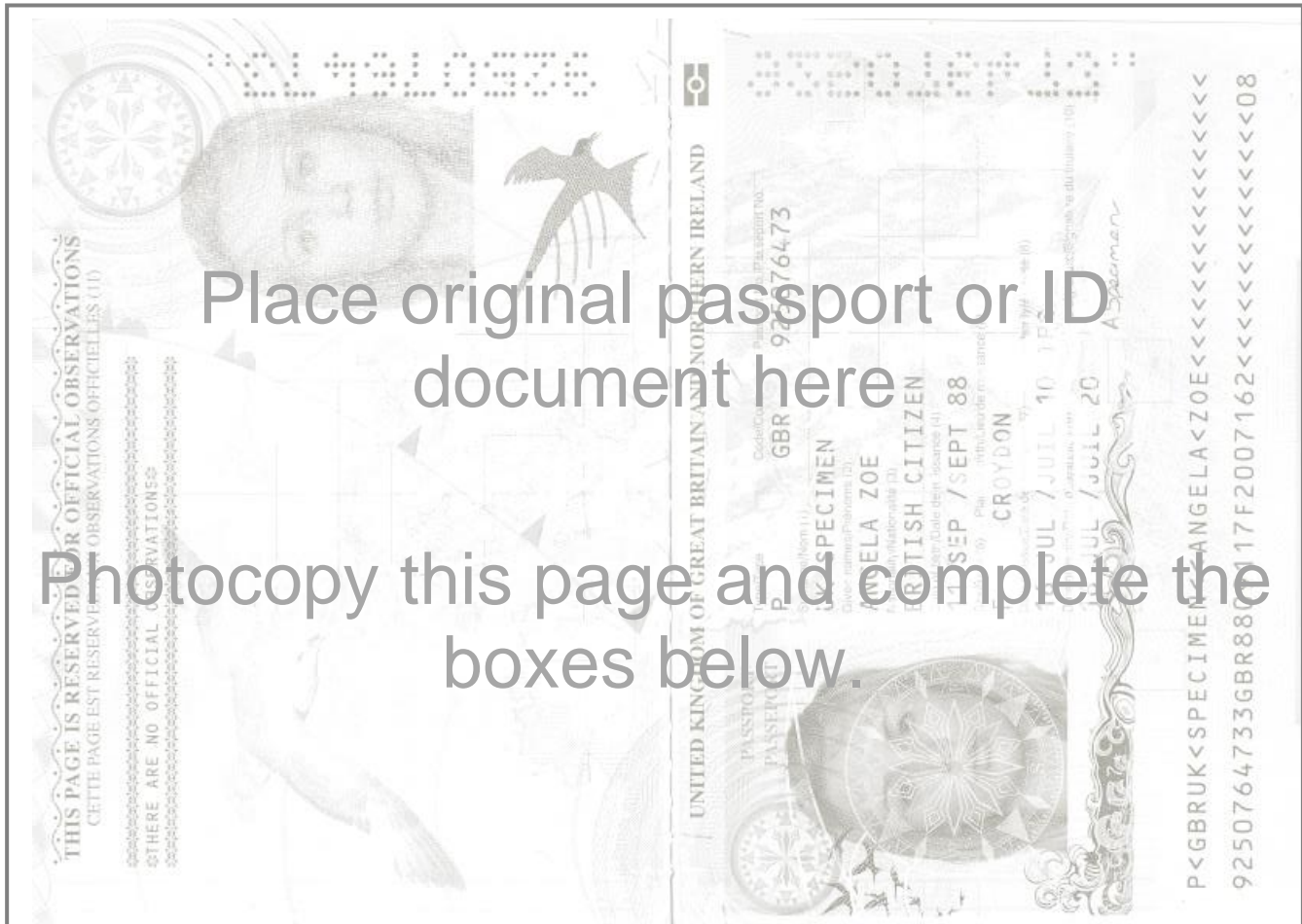
Under local regulations, we are required to have had sight of the original document or certified true copy bearing an original ("wet-ink") signature. We can accept digital certifications electronically as a wet-ink original **BUT ONLY** where the digital signature carries some form of certification, such as the use of AdobeSign, DocuSign or other proprietary electronic signature provider who meets the requirements set out in Regulation (EU) No 910/2014 ("eIDAS") on electronic identification and trust services for electronic transactions.

We are not able to accept electronic documents which only bear an image or photograph of the certifier's signature without also receiving an original signed ("wet-ink") copy by post.

TEMPLATE FOR CERTIFICATION OF IDENTIFICATION DOCUMENTS

Below is a template page to facilitate you providing a copy of your photographic identity document with the correct certification wording.

The copy must clearly show the passport or national ID number, signature and expiry date and any machine-readable code contained within the document. Please endeavour to use the certification page below to assist you in a successful submission. Please ensure the photocopy is a clear reproduction, with the photograph clearly visible.



I hereby certify that I have met _____ and this is a true and accurate copy of the relevant part of their identification document, the original of which I have seen. Furthermore, I certify that the photograph contained therein bears a true likeness to the individual concerned.

Certifier to complete:

Signature		Date Professional Seal or Stamp (if held):
Full Name		
Professional Capacity and/or Rank		
Organisation		
Address		
Telephone Number or Email Address		

Beneficial Owner/Controller Details

Continuation Sheet (if required)

Connected Party – Natural Persons

Full Legal Name (inc. Title)			
Principal Residential Address		All previous or maiden names <i>(where applicable)</i>	
Nationality <i>(if you have more than one nationality, please list all)</i>		Gender	
Date of Birth		Town and Country of Birth	
Passport Number		PEP status	<input type="checkbox"/> Yes / <input type="checkbox"/> No
Connection	<input type="checkbox"/> Ultimate Beneficial Owner / <input type="checkbox"/> Director / <input type="checkbox"/> Other <i>(please specify)</i>		

Full Legal Name (inc. Title)			
Principal Residential Address		All previous or maiden names <i>(where applicable)</i>	
Nationality <i>(if you have more than one nationality, please list all)</i>		Gender	
Date of Birth		Town and Country of Birth	
Passport Number		PEP status	<input type="checkbox"/> Yes / <input type="checkbox"/> No
Connection	<input type="checkbox"/> Ultimate Beneficial Owner / <input type="checkbox"/> Director / <input type="checkbox"/> Other <i>(please specify)</i>		

Full Legal Name (inc. Title)			
Principal Residential Address		All previous or maiden names <i>(where applicable)</i>	
Nationality <i>(if you have more than one nationality, please list all)</i>		Gender	
Date of Birth		Town and Country of Birth	
Passport Number		PEP status	<input type="checkbox"/> Yes / <input type="checkbox"/> No
Connection	<input type="checkbox"/> Ultimate Beneficial Owner / <input type="checkbox"/> Director / <input type="checkbox"/> Other <i>(please specify)</i>		

Full Legal Name (inc. Title)			
Principal Residential Address		All previous or maiden names <i>(where applicable)</i>	
Nationality <i>(if you have more than one nationality, please list all)</i>		Gender	
Date of Birth		Town and Country of Birth	
Passport Number		PEP status	<input type="checkbox"/> Yes / <input type="checkbox"/> No
Connection	<input type="checkbox"/> Ultimate Beneficial Owner / <input type="checkbox"/> Director / <input type="checkbox"/> Other <i>(please specify)</i>		

use additional copies of this sheet as required

Connected Party – Legal Person/Arrangement

Entity Name			
Registered Office address		Date and Place of Incorporation/Registration/Establishment	
Official ID Number		PEP⁴ status	<input type="checkbox"/> Yes / <input type="checkbox"/> No
Names of all directors/partners or equivalent			
Connection	<input type="checkbox"/> General Partner / <input type="checkbox"/> Managing Partner / <input type="checkbox"/> Partner / <input type="checkbox"/> Other <i>(please specify)</i>		

Entity Name			
Registered Office address		Date and Place of Incorporation/Registration/Establishment	
Official ID Number		PEP⁴ status	<input type="checkbox"/> Yes / <input type="checkbox"/> No
Names of all directors/partners or equivalent			
Connection	<input type="checkbox"/> General Partner / <input type="checkbox"/> Managing Partner / <input type="checkbox"/> Partner / <input type="checkbox"/> Other <i>(please specify)</i>		

Entity Name			
Registered Office address		Date and Place of Incorporation/Registration/Establishment	
Official ID Number		PEP⁴ status	<input type="checkbox"/> Yes / <input type="checkbox"/> No
Names of all directors/partners or equivalent			
Connection	<input type="checkbox"/> General Partner / <input type="checkbox"/> Managing Partner / <input type="checkbox"/> Partner / <input type="checkbox"/> Other <i>(please specify)</i>		

Entity Name			
Registered Office address		Date and Place of Incorporation/Registration/Establishment	
Official ID Number		PEP⁴ status	<input type="checkbox"/> Yes / <input type="checkbox"/> No
Names of all directors/partners or equivalent			
Connection	<input type="checkbox"/> General Partner / <input type="checkbox"/> Managing Partner / <input type="checkbox"/> Partner / <input type="checkbox"/> Other <i>(please specify)</i>		

Entity Name			
Registered Office address		Date and Place of Incorporation/Registration/Establishment	
Official ID Number		PEP⁴ status	<input type="checkbox"/> Yes / <input type="checkbox"/> No
Names of all directors/partners or equivalent			
Connection	<input type="checkbox"/> General Partner / <input type="checkbox"/> Managing Partner / <input type="checkbox"/> Partner / <input type="checkbox"/> Other <i>(please specify)</i>		

use additional copies of this sheet as required

Annex E

Entity Details Form – Companies

Entity Details Form

Legal Person - Company

A. Entity Details

Name of Company			
Type of Company	<input type="checkbox"/> Private Company	<input type="checkbox"/> Public Company <i>(shares are quoted on a regulated stock exchange or a wholly owned subsidiary)</i>	
	<input type="checkbox"/> Regulated ¹ Company <i>(in an equivalent jurisdiction* or a wholly owned subsidiary of a regulated company)</i>	<input type="checkbox"/> Other <i>(please provide details)</i>	
Date of Incorporation		Place of Incorporation	
Registration Number:			
Names of all directors or executive officers <i>(as appropriate)</i>			
Names of any individuals (other than the directors/officers) who are authorised to instruct on behalf of the Company <i>(only include details of those who are not included on any official authorised signatory list issued by the Company)</i>			
Nature of business activities <i>(including the jurisdictions where these are undertaken)</i>			
Details of any existing relationship(s) with the Maples Group			

B. Contact Details

Please provide the registered office address of the Company <i>If the company has a separate mailing address and/or place of business please also provide details</i>	Registered Office Address	Mailing address and/or Principal place of business/operations <i>(if different)</i>

Contact Telephone Number			
Contact Email Address			
If you have a preferred method of contact, please indicate	<input type="checkbox"/> Email	<input type="checkbox"/> Telephone	<input type="checkbox"/> Post

¹ "Regulated" means prudential supervision for the provision of a financial services business, such as Investment Business, Fund Services Business (but not Investment Funds themselves), Banking Business or Trust and Company Services by an appropriate regulatory body. DNFBP's or other entities which are supervised for AML/CFT compliance only are not regarded as regulated for the purposes of this form.

C. Automatic Exchange of Information (“AEOI”)

As a financial institution (“FI”), we are obliged to collect and report certain information about each Account Holder’s tax status.

If you are completing this form on the entity’s behalf, you must include the capacity in which you have signed. For example, you may be the custodian or nominee of an entity, or you may be completing the form under signatory authority or power of attorney.

This form will remain valid unless there is a change in circumstances relating to the account holder’s tax status or other mandatory fields included in this form. Please ensure you notify us promptly of any change in circumstances that makes the information in this self-certification form incorrect or incomplete and provide an updated self-certification.

As a financial institution, we are not allowed to give tax advice. If you have any questions about how to complete this Form, or to defining your tax residency status, please refer to accompanying guidelines for completion or contact your tax advisor.

Part I – General Information

This section is included to assist with our understanding of your Entity’s business activity to enable us to assess the reasonableness of the information disclosed in this form.

- Primarily engaged in holding investments (not real property)
- Primarily engaged in holding real property
- Primarily engaged in commercial activities (retail, manufacturing, transport, construction etc.)
- Primarily engaged in financial services as a depository or custodial insurance entity
- Other (please specify)

Part II – US IGA/US FATCA

Section 1 – US Persons

Please tick and complete as appropriate. If the Entity is not a US Person, please complete Section 2.

<input type="checkbox"/>	The entity is a Specified U.S. Person and the entity’s U.S. federal taxpayer identifying number (U.S. TIN) is as follows:	
<input type="checkbox"/>	The entity is a U.S. Person that is not a Specified U.S. Person.	Indicate exemption:

Section 2 – US FATCA Classification for all Non-US Entities

Please complete this section if the entity is not a US Person

(i)	If the entity is a Registered Foreign Financial Institution , please tick one of the below categories, and provide the entity’s FATCA GIIN below		
	<input type="checkbox"/>	Reporting Financial Institution	Global Intermediary Identification Number (“GIIN”):
	<input type="checkbox"/>	Registered Deemed Compliant Foreign Financial Institution	
	<input type="checkbox"/>	Participating Foreign Financial Institution	

(ii)	If the entity is a Financial Institution but unable to provide a GIIN , please complete one of the below reasons:		
	<input type="checkbox"/>	The Entity is a Sponsored Financial Institution (sponsored by another entity that has registered as a Sponsoring Entity) select one:	
		<input type="checkbox"/> has no US reportable accounts, is a Sponsored FI in a Model 1 IGA jurisdiction and therefore not required to obtain a Sponsored Entity GIIN. Please provide the Sponsoring Entity’s name and GIIN	Sponsoring Entity’s Name:
		<input type="checkbox"/> its Sponsor has obtained a Sponsored Entity GIIN on its behalf. Please provide the Sponsoring Entity’s name and GIIN, and Sponsored Entity’s GIIN	Sponsoring Entity’s GIIN:
	<input type="checkbox"/>	The Entity is a Trustee Documented Trust. Please provide the Trustee’s name and GIIN.	Sponsoring Entity’s Name:
			Sponsoring Entity’s GIIN:
			Sponsored Entity’s GIIN:
<input type="checkbox"/>	The Entity is a Certified Deemed Compliant, or otherwise Non-Reporting, Foreign Financial Institution (including a Foreign Financial Institution deemed compliant under Annex II of an IGA, except for a Trustee Documented Trust or Sponsored Financial Institution).	Indicate Exemption:	

<input type="checkbox"/>	The Entity is a Non-Participating Foreign Financial Institution	
(iii)	If the entity is not a Foreign Financial Institution , please confirm the Entity's FATCA status below:	
<input type="checkbox"/>	The Entity is an Exempt Beneficial Owner .	Indicate Status:
<input type="checkbox"/>	The Entity is an Active Non-Financial Foreign Entity (including Excepted NFFE)	If the entity is a Direct Reporting NFFE, please provide the Entity's GIIN:
		If the entity is a Sponsored Direct Reporting NFFE, please provide the Sponsoring Entity's name and GIIN. Sponsoring Entity's Name:
		Sponsoring Entity's GIIN:
		Sponsored Entity's GIIN:
<input type="checkbox"/>	The Entity is a Passive Non-Financial Foreign Entity . Please indicate the full name of any Controlling Person(s) by completing Part IV.	

Part III – Common Reporting Standard (“CRS”)

Section 3: Declaration of All Tax Residency

For the purposes of the Common Reporting Standard (“CRS”), all matters in connection with residence are determined in accordance with the CRS and its Commentaries. Please indicate the Entity's place of tax residence (if resident in more than one jurisdiction please detail all jurisdictions and associated tax reference number type and number). If an entity has no residence for tax purposes please indicate the jurisdiction in which its place of effective management is situated. Please indicate not applicable if jurisdiction does not issue or you are unable to procure a tax reference number or functional equivalent, and indicate the reason below.

Jurisdiction(s) of Tax Residency	Tax Reference Number Type	Tax Reference Number (e.g. TIN)

Where applicable, please specify the reason for non-availability of a tax reference number:

(Please select the appropriate reason A, B or C)

- Reason A – The country/jurisdiction where the Account Holder is resident does not issue TINs to its residents.
- Reason B – No TIN is required.
NB Only use this reason if the domestic law of the relevant jurisdiction does not require the collection of the TIN issued by such jurisdiction.
- Reason C – The Account Holder is otherwise unable to obtain a TIN or equivalent number. Please explain below why you are unable to obtain a TIN if you have selected this reason.

Section 4: CRS Classification

Please provide your CRS classification by checking the corresponding box(es). Note that CRS classification does not necessarily coincide with your classification for US or UK FATCA purposes.

(i)	<input type="checkbox"/>	If the entity is a Financial Institution , please tick this box and select the type of Financial Institution below ² :	
	<input type="checkbox"/>	Reporting Financial Institution under CRS (if yes – please tick one of the below to specify the type of financial institution)	
	<input type="checkbox"/>	Depository Institution	
	<input type="checkbox"/>	Specified Insurance Company	
	<input type="checkbox"/>	Custodial Institution	
	<input type="checkbox"/>	Investment Entity with the meaning of section VIII A.6(a) of the CRS	
	<input type="checkbox"/>	'Managed' Investment Entity with the meaning of Section VIII A.6(b) of the CRS	
	OR		
	<input type="checkbox"/>	Non-Reporting Financial Institution under CRS. Specify the type of Non-Reporting Financial Institution below:	
	<input type="checkbox"/>	Governmental Entity	
	<input type="checkbox"/>	International Organisation	
	<input type="checkbox"/>	Central Bank	
	<input type="checkbox"/>	Broad Participation Retirement Fund	
	<input type="checkbox"/>	Narrow Participation Retirement Fund	
	<input type="checkbox"/>	Pension Fund of a Governmental Entity, International Organisation, or Central Bank	
	<input type="checkbox"/>	Exempt Collective Investment Vehicle	
	<input type="checkbox"/>	Trust whose trustee reports all required information with respect to all CRS Reportable Accounts	
	<input type="checkbox"/>	Qualified Credit Card Issuer	
	<input type="checkbox"/>	Other Entity defined under the domestic law as low risk of being used to evade tax.	Specify the type provided in the domestic law:
	OR		

² Where the entity is resident in a Participating Jurisdiction, use the terms as defined under the CRS regime in that Jurisdiction. Where the entity is resident in a Non-Participating Jurisdiction, definitions under the Jersey CRS regime must be used.

	<input type="checkbox"/>	Financial Institution resident in a Non-Participating Jurisdiction under CRS. <i>Specify the type of Financial Institution below:</i>
	<input type="checkbox"/>	Investment Entity managed by another Financial Institution. Please indicate the full name of any Controlling Person(s) by completing Part IV.
	<input type="checkbox"/>	Other Investment Entity.
	<input type="checkbox"/>	Other Financial Institution, including a Depository Financial Institution, Custodial Institution or Specified Insurance Company.
	<input type="checkbox"/>	a widely-held, regulated Collective Investment Vehicle (CIV) established as a trust.
	<input type="checkbox"/>	a pension fund established as a trust.
(ii)	<input type="checkbox"/>	If the entity is an Active Non-Financial Entity ("NFE") please tick this box and specify the type of Active NFE below:
	<input type="checkbox"/>	Corporation that is regularly traded or a related entity of a regularly traded corporation.
		Provide the name of the stock exchange where traded: If you are a related entity of a regularly traded corporation, provide the name of the regularly traded corporation:
	<input type="checkbox"/>	Governmental Entity, International Organisation, a Central Bank, or an Entity wholly owned by one or more of the foregoing;
	<input type="checkbox"/>	Other Active Non-Financial Entity. Indicate qualifying criteria:
(iii)	<input type="checkbox"/>	If the entity is a Passive Non-Financial Entity please tick this box. Please indicate the full name of any Controlling Person(s) by completing Part IV.

Part IV – Controlling Persons

Please complete for each Controlling Person referred to in sections 2 (iii), 4 (i) and 4(iii).

Full Name	Full Residential Address	Tax Reference Type and Number	Controlling Person Type <i>(refer to table below)</i>

List of Controlling Person Types

a. Controlling Person of a legal person – control by ownership
b. Controlling Person of a legal person – control by other means
c. Controlling Person of a legal person – senior managing official
d. Controlling Person of a trust – settlor
e. Controlling Person of a trust – trustee
f. Controlling Person of a trust – protector
g. Controlling Person of a trust – beneficiary ³
h. Controlling Person of a trust – other
i. Controlling Person of a legal arrangement (non-trust) – settlor-equivalent
j. Controlling Person of a legal arrangement (non-trust) – trustee-equivalent
k. Controlling Person of a legal arrangement (non-trust) – protector-equivalent
l. Controlling Person of a legal arrangement (non-trust) – beneficiary-equivalent
m. Controlling Person of a legal arrangement (non-trust) – other-equivalent

³ It is recognised, specifically in the case of discretionary trusts, that the individual beneficiaries are not always identified until such time as the first distribution is made to that beneficiary by the trust. Such beneficiaries do not need to be listed below; however, should distributions be made in the future, beneficiaries will be treated as Controlling Persons and will become reportable under FATCA and CRS, if applicable. When this happens, you must update us by supplying a new Entity Self-Certification form.

D. Beneficial Ownership and Control

N.B. You need not complete this section for any Regulated Company (or any wholly owned subsidiary of a Regulated Company) or any Public Company with shares listed on a regulated or IOSCO-compliant stock exchange (or a wholly owned subsidiary of a listed company)

We are required to obtain details of any individual who has a direct or indirect interest in 10% or more of the share capital (or voting rights) of the Company. Please complete the details of all such individuals below, continuing on a separate sheet if required.

Where the individual interests are not held directly or there are no individual interests exceeding 10%, you must provide an explanation of the ownership from the Company up to the ultimate beneficial owners in the box below. Please include the full names of any intermediate legal persons or arrangements and the jurisdiction of their establishment/incorporation **and** provide a certified* copy of the structure chart detailing the intermediate and ultimate beneficial ownership with this form.

Where there are no individuals with a material controlling interest in the share capital of Company, we are required to obtain the details of at least two of the directors/officers (or equivalent) of the Company with control over the capital/assets of the Company. Please complete their details in the boxes below, continuing on a separate sheet if required.

UBO/Controller 1

Full Legal Name (inc. Title)			
Principal Residential Address		All previous or maiden names <i>(where applicable)</i>	
Nationality <i>(if you have more than one nationality, please list all)</i>		Gender	
Date of Birth		Town and Country of Birth	
Passport Number		PEP⁴ status	<input type="checkbox"/> Yes / <input type="checkbox"/> No
Connection	<input type="checkbox"/> Ultimate Beneficial Owner / <input type="checkbox"/> Director / <input type="checkbox"/> Other <i>(please specify)</i>		

UBO/Controller 2

Full Legal Name (inc. Title)			
Principal Residential Address		All previous or maiden names <i>(where applicable)</i>	
Nationality <i>(if you have more than one nationality, please list all)</i>		Gender	
Date of Birth		Town and Country of Birth	
Passport Number		PEP⁴ status	<input type="checkbox"/> Yes / <input type="checkbox"/> No
Connection	<input type="checkbox"/> Ultimate Beneficial Owner / <input type="checkbox"/> Director / <input type="checkbox"/> Other <i>(please specify)</i>		

Provide any additional information below, including details of any relevant PEP connections or other high risk factors.

For the avoidance of doubt, we would expect at least two individuals to be named in this Section. A continuation form is included at the rear of this form should you need to disclose additional beneficial owners or controllers.

⁴ Answer yes if the UBO/controller or any of their immediate family or close associates holds (or has ever held) a position as head of state or senior positions in any branch of any government, political party, judiciary, state owned organisations or armed forces, anywhere in the world. If yes, please provide further details, using a separate sheet if necessary.

E. Source of Funds

In line with Jersey laws and regulations, we are required to obtain details of the source of funds for the Investment. The "source of funds" is the activity that generates the funds for a customer, e.g. investment, loan or business activities. Where the source of funds originates from a country or jurisdiction that is not the same as the country of establishment or principal place of business, please provide details of the related jurisdictions.

A representative of Maples may contact you to request evidence of the source of funds and in some circumstances further information concerning the source of wealth.

Maples reserves the right to request additional or updated evidence of the source of funds and / or source of wealth at any time.

F. Verification of Identity

In accordance with our legal and regulatory obligations, we are obliged to verify the information you have provided above, by reference to certain evidential documentation, which we have to retain on our files. Set out below are our initial requirements:

For all companies

- Certified* copy of the Authorised Signatory List (if available)

For Regulated Companies or wholly owned subsidiaries of Regulated Companies

- certified* evidence of regulatory licence or details of where this can be obtained online.
 If the company is a subsidiary and is incorporated or registered in the same jurisdiction as the parent - please provide a structure chart, a copy of the parent company's latest accounts or written confirmation of the relationship from the holding or parent company.

For Public Companies with equity listed on a regulated stock exchange or a wholly owned subsidiary

- evidence of the company being quoted on a stock exchange (please contact Maples for details of a list of exchanges which are deemed to be acceptable) or details of where this can be obtained online
 If the company is a subsidiary - please provide a structure chart, a copy of the parent company's latest report and accounts or written confirmation of the relationship from the holding or parent company

For all other types of Company

- certified* copy of the memorandum and articles of association
 certified* copy of the certificate of incorporation **OR** a certified* copy of the latest financial statements
 certified* copy of the structure chart (where required – see Section D of this form)
 for **all** individual beneficial owners or controllers named in Section D of this form and any authorised signatories:-
- A certified* copy of their photographic ID (current passport, driving licence or national ID card)
 - A certified* copy of one of the following, showing their name and their principal residential address:
 - A utility bill[§] (less than 3 months old)
 - A bank statement[†] (less than 3 months old)
 - Correspondence (less than 3 months old) from a government department/agency/tax office
 - A letter of introduction from a regulated financial services provider in an equivalent[‡] jurisdiction
 - A tenancy contract or agreement
 - A Photo-ID (driving licence or national ID card) provided it is not also being used to prove your ID (above)

Following review of the information provided in this form, a representative of Maples Jersey may contact you directly with a request to provide additional information.

G. Data Protection

Maples Fiduciary Services (Jersey) Limited ("**Maples Jersey**") is regulated by the Jersey Financial Services Commission to conduct Trust Company Business, under the Financial Services (Jersey) Law 1998 (the "**FSJ**"). All entities subject to regulation under the FSJ are required to adhere to the Money Laundering (Jersey) Order 2008. As part of its obligations as a regulated business, Maples Jersey have a legitimate interest to process certain personal data about the individual customers/clients as well as the beneficial owners and controllers of, and certain parties connected to, the entities which Maples Jersey administer for its customers/clients (each a "**Customer Entity**") in order to meet our legal and regulatory obligations under the laws of Jersey and to maintain our regulated status.

All individuals named on this form have, or will have, a relevant connection to a Customer Entity which requires us to process their personal data. The way in which we may legitimately process their personal data is subject to the principles established in the Data Protection (Jersey) Law 2018 (the “**DP Law**”) and the General Data Protection Regulation (EU) 2016/79 (“**GDPR**”).

The specific rights and additional information on how we may process their data are set out in the relevant Maples Group Privacy Notice which can be accessed at <https://maples.com/Footer/privacy/>. Please ensure that each individual named in this form is provided with a copy or made aware of the content of the Privacy Notice.

H. Declaration

I hereby confirm that:

- The above particulars are true and correct to the best of our knowledge and belief;
- We are authorised to sign for the Entity, for all the accounts to which this form relates, and we confirm that in completing this form we are acting as a principal and not as an agent or intermediary on behalf of others;
- We consent that to the extent required under law
 - (a) the information regarding the Entity and the Entity’s account(s) may be reported to the authorities of the country(ies) in which the account(s) are maintained; and
 - (b) those authorities may provide such information to the country(ies) of which the Entity Account Holder is a tax resident pursuant to intergovernmental agreements regarding the exchange of financial account information
- We confirm that we have read, and agree to be bound by the applicable Terms and Conditions (and any amended Terms and Conditions as published from time to time); and
- we hereby undertake to notify you as soon as possible (and no later than 30 days after we become aware) should any of the information provided in this form change and of any changes to the circumstances that impact upon this declaration.

Signed:	Signed:
Name:	Name:
Capacity:	Capacity:
Date:	Date:

ONCE COMPLETED please return this form, together with your identity verification documentation (as set out in section F above), to: Maples Fiduciary Services (Jersey) Limited, 2nd Floor, Sir Walter Raleigh House, 48-50 Esplanade, St Helier, Jersey, JE2 3QB

Notes:

* *The Maples certification requirements are set out on page 8 and 9 of this document*

§ *A utility bill is an invoice issued by a company providing services using permanent infrastructure delivered to a fixed point (being the residential address in question, for example water, electricity, fixed line telephony etc.). Mobile telephone bills and invoices for goods or services delivered by road are not acceptable as proof of address. Also invoices issued for other services, such as medical, dental services etc, or statements for store cards and other such correspondence are not acceptable as proof of address.*

† *A bank statement is a statement of account issued by a high street or private bank which lists the transactions across your account for a particular period (demonstrating that the account is in use). Statements for dormant accounts, credit card statements, debit/credit notifications or advices are not acceptable even where addressed to you. Joint account statements are acceptable but can only be used for one of the parties (where both parties require verification). You may choose to obliterate the balance and the transaction values but all other information (such as the correspondence address, name and address of the bank, account number, sort code, date of statement etc.) must remain.*

* *The following are deemed to be equivalent jurisdictions:*

Andorra Austria Bahrain Belgium Bermuda Czech Republic Greece	Hong Kong Guernsey Hungary Ireland Isle of Man Italy Korea, Republic of (South Korea)	Malaysia Norway Portugal Saudi Arabia Singapore Spain Sweden	Taiwan (Republic of China) United Arab Emirates United Kingdom United States
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CERTIFICATION OF DOCUMENTATION

If you are meeting with a representative of Maples prior to the establishment of the relationship you may bring the originals of these documents to that meeting and we will arrange for copies to be made (subject to the availability of reproduction facilities at the meeting venue). Please note that we are not able to take appropriate copies of ID documents where the individual concerned is not present.

If you are not meeting with a representative of Maples prior to the establishment of the relationship then you should arrange for a copy of the documents that are requested in section E of this form to be certified as set out below:-

Identity Documents (Passports, National ID Card, Driving Licence)

Wherever possible please ask your certifier to use the template printed on page 8 of this document to facilitate the certification of your identity documentation (such as your passport or national ID card). If you are unable to use the template, please ensure that the certifier uses the wording contained in the template or uses similar wording which confirms the following on face of the copy:-

That:

- the document is a true copy of the original
- they have seen the original document and the individual concerned at the same time
- that the likeness in the photograph bears a true likeness to the holder of the document.

The certifier should then provide their details as set out below. Such details may form part of an official stamp or seal but please ensure that all of the requested information is provided.

Corporate documents, Proof of Address, or any other certified evidence

Please ensure the wording below is copied onto the face of the copy documents that are provided:

"I hereby certify that this is a true and accurate copy of this document, the original of which I have seen."

The certifier should then provide their details as set out below. Such details may form part of an official stamp or seal but please ensure that all of the requested information is provided.

Details of the Certifier

Please then ensure the certifier includes the following information as part of their certification:

Full Name
Professional capacity and/or Rank
Organisation
Address
Telephone number or email address
Date

Who can certify my documents?

When providing copy documents these must be certified by a third party who is subject to professional rules of conduct. Suitable certifiers are determined by local legislators and can therefore vary from country to country. Examples of suitable certifiers include:

- a lawyer, actuary or accountant who must be a current member of a relevant professional body
- a member of the judiciary, a senior civil servant or a serving police or customs officer
- an officer of an embassy, consulate or high commission of the country or territory of issue of documentary evidence of identity
- an individual who is a member of a professional body that sets and enforces ethical standards
- a director, officer or manager of a regulated financial services business in an equivalent[†] jurisdiction.

Please note that we cannot accept documents which have been self-certified and attested by a notary public. Where the services of a notary public are used, and that notary public does not also meet the requirements of a suitable certifier (above) the notarisation will need to be legalized in accordance with the Hague Convention of 5 October 1961.

Method of Delivery

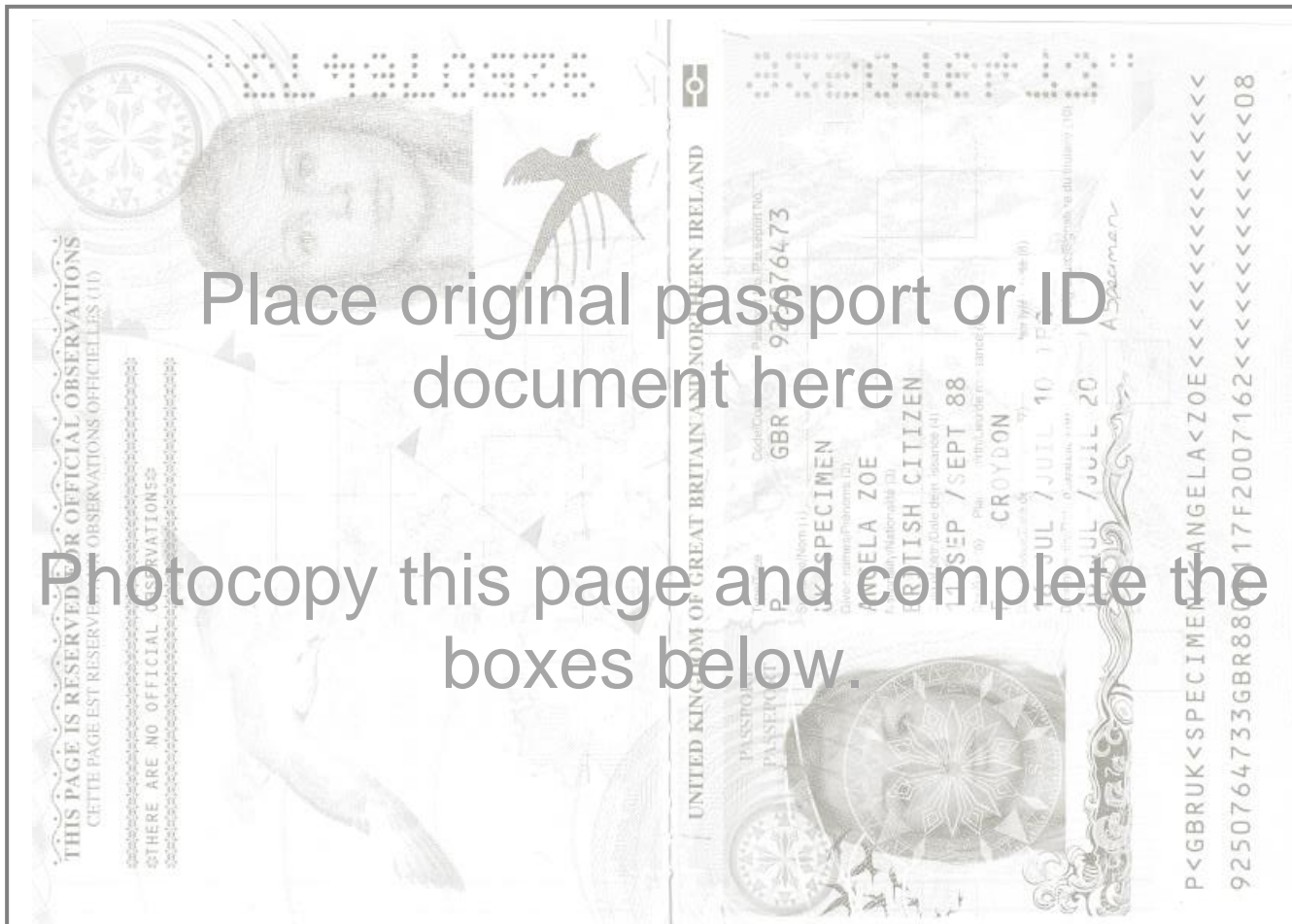
Under local regulations, we are required to have had sight of the original document or certified true copy bearing an original ("wet-ink") signature. We can accept digital certifications electronically as a wet-ink original **BUT ONLY** where the digital signature carries some form of certification, such as the use of AdobeSign, DocuSign or other proprietary electronic signature provider who meets the requirements set out in Regulation (EU) No 910/2014 ("**eIDAS**") on electronic identification and trust services for electronic transactions.

We are not able to accept electronic documents which only bear an image or photograph of the certifier's signature without also receiving an original signed ("wet-ink") copy by post.

TEMPLATE FOR CERTIFICATION OF IDENTIFICATION DOCUMENTS

Below is a template page to facilitate you providing a copy of your photographic identity document with the correct certification wording.

The copy must clearly show the passport or national ID number, signature and expiry date and any machine-readable code contained within the document. Please endeavour to use the certification page below to assist you in a successful submission. Please ensure the photocopy is a clear reproduction, with the photograph clearly visible.



I hereby certify that I have met _____ and this is a true and accurate copy of the relevant part of their identification document, the original of which I have seen. Furthermore, I certify that the photograph contained therein bears a true likeness to the individual concerned.

Certifier to complete:

Signature		Date
Full Name		Professional Seal or Stamp (if held):
Professional Capacity and/or Rank		
Organisation		
Address		
Telephone Number or Email Address		

EXHIBIT A

US IGA DEFINITIONS

"Account Holder" means the person listed or identified as the holder of a Financial Account by the Financial Institution that maintains the account. A person, other than a Financial Institution, holding a Financial Account for the benefit or account of another person as agent, custodian, nominee, signatory, investment advisor, or intermediary, is not treated as holding the account for purposes of this Agreement, and such other person is treated as holding the account. For purposes of the immediately preceding sentence, the term "Financial Institution" does not include a Financial Institution organized or incorporated in a U.S. Territory. In the case of a Cash Value Insurance Contract or an Annuity Contract, the Account Holder is any person entitled to access the Cash Value or change the beneficiary of the contract. If no person can access the Cash Value or change the beneficiary, the Account Holder is any person named as the owner in the contract and any person with a vested entitlement to payment under the terms of the contract. Upon the maturity of a Cash Value Insurance Contract or an Annuity Contract, each person entitled to receive a payment under the contract is treated as an Account Holder.

"Active Non-Financial Foreign Entity" means any NFFE which is a Non U.S. entity that meets any of the following criteria:

- (a) Less than 50% of the NFFE's gross income for the preceding calendar year or other appropriate reporting period is passive income and less than 50% of the assets held by the NFFE during the preceding calendar year or other appropriate reporting period are assets that produce or are held for the production of passive income;
- (b) The stock of the NFFE is regularly traded on an established securities market or the NFFE is a Related Entity of an Entity the stock of which is traded on an established securities market;
- (c) The NFFE is organized in a U.S. Territory and all of the owners of the payee are bona fide residents of that U.S. Territory;
- (d) The NFFE is a non-U.S. government, a government of a U.S. Territory, an international organisation, a non-U.S. central bank of issue, or an Entity wholly owned by one or more of the foregoing;
- (e) substantially all of the activities of the NFFE consist of holding (in whole or in part) the outstanding stock of, and providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an NFFE shall not qualify for this status if the NFFE functions (or holds itself out) as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes;
- (f) The NFFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution; provided, that the NFFE shall not qualify for this exception after the date that is 24 months after the date of the initial organisation of the NFFE;
- (g) The NFFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganising with the intent to continue or recommence operations in a business other than that of a Financial Institution;
- (h) The NFFE primarily engages in financing and hedging transactions with or for Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution; or
- (i) The NFFE is an "excepted NFFE" as described in relevant U.S. Treasury Regulations; or
- (j) The NFFE meets all of the following requirements:
 - i) It is established and maintained in its country of residence exclusively for religious, charitable, scientific, artistic, cultural, athletic or educational purposes; or it is established and operated in its jurisdiction of residence and it is a professional organisation, business league, chamber of commerce, labour organisation, agricultural or horticultural organisation, civic league or an organisation operated exclusively for the promotion of social welfare;
 - ii) It is exempt from income tax in its country of residence;
 - iii) It has no shareholders or members who have a proprietary or beneficial interest in its income or assets;
 - iv) The applicable laws of the Entity's country of residence or the Entity's formation documents do not permit any income or assets of the Entity to be distributed to, or applied for the benefit of, a private person or non-charitable Entity other than pursuant to the conduct of the Entity's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the Entity has purchased; and
 - v) The applicable laws of the Entity's country of residence or the Entity's formation documents require that, upon the Entity's liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organisation, or escheat to the government of the Entity's jurisdiction of residence or any political subdivision thereof.

"Code" means the U.S Internal Revenue Code of 1986, as amended.

"Controlling Person" means the natural persons who exercise direct or indirect control over an entity. In the case of a trust, such term means the settlor, the trustees, the protector (if any), the beneficiaries or class of beneficiaries, and any other natural person exercising ultimate effective control over the trust, and in the case of a legal arrangement other than a trust, such term means persons in equivalent or

similar positions. The term 'Controlling Persons' shall be interpreted in a manner consistent with the Financial Action Task Force Recommendations ("FATF").

FATF Recommendations on Controlling Persons:

Identify the beneficial owners of the customer and take reasonable measures to verify the identity of such persons, through the following information. For legal persons⁵:

- (a) The identity of the natural persons (if any – as ownership interests can be so diversified that there are no natural persons (whether acting alone or together) exercising control of the legal person or arrangement through ownership) who ultimately have a controlling ownership interest⁶ in a legal person; and
- (b) to the extent that there is doubt under (a) as to whether the person(s) with the controlling ownership interest are the beneficial owner(s) or where no natural person exerts control through ownership interests, the identity of the natural persons (if any) exercising control of the legal person or arrangement through other means.
- (c) Where no natural person is identified under (a) or (b) above, financial institutions should identify and take reasonable measures to verify the identity of the relevant natural person who holds the position of senior managing official.

"Entity" means a legal person or a legal arrangement such as a trust.

"Exempt Beneficial Owners" under the US IGA include Government entities, International Organisations, Central Bank, Broad Participation Retirement Funds, Narrow Participation Retirement Funds, Pension Funds of an Exempt Beneficial Owner, and Investment Entities wholly owned by Exempt Beneficial Owners. Please refer to the IGA for detailed definitions.

"Financial Institution" means a Custodial Institution, a Depository Institution, an Investment Entity, or a Specified Insurance Company, where:

- (a) "Custodial Institution" means any entity that holds, as a substantial portion of its business, financial assets for the account of others. An entity holds financial assets for the account of others as a substantial portion of its business if the entity's gross income attributable to the holding of financial assets and related financial services equals or exceeds 20% of the Entity's gross income during the shorter of: (i) the three year period that ends on 31 December (or the final day of a non-calendar year accounting period) prior to the year in which the determination is being made; or (ii) the period during which the entity has been in existence;
- (b) "Depository Institution" means any entity that accepts deposits in the ordinary course of a banking or similar business;
- (c) "Investment Entity" means any entity that conducts as a business (or is managed by an entity that conducts as a business) one or more of the following activities or operations for or on behalf of a customer: (1) trading in money market instruments (cheques, bills, certificates of deposit, derivatives, etc.); foreign exchange; exchange, interest rate and index instruments; transferable securities; or commodity futures trading; (2) individual and collective portfolio management; or (3) otherwise investing, administering, or managing funds or money on behalf of other persons. The term "Investment Entity" shall be interpreted in a manner consistent with similar language set forth in the definition of "financial institution" in the Financial Action Task Force Recommendations; and
- (d) "Specified Insurance Company" means any entity that is an insurance company (or the holding company of an insurance company) that issues, or is obligated to make payments with respect to, a Cash Value Insurance Contract or an Annuity Contract.

"NFFE" means any Non-U.S. Entity that is not a Financial Institution as defined in US FATCA.

"Non-U.S. Entity" means an Entity that is not a U.S. Person.

"Passive Non-Financial Foreign Entity" means any NFFE that is not an Active Non-Financial Foreign Entity.

"Related Entity" An entity is a *Related Entity* of another entity if either entity controls the other entity, or the two entities are under common control. For this purpose control includes direct or indirect ownership of more than 50% of the vote or value in an entity. Notwithstanding the foregoing, either Party may treat an entity as not a related entity if the two entities are not members of the same affiliated group, as defined in Section 1471(e)(2) of the Code.

"Specified U.S. Person" means a U.S. Person other than:

- (a) a corporation, the stock of which is regularly traded on established securities markets;
- (b) any corporation that is a member of the same expanded affiliated group;
- (c) the United States or any wholly owned agency or instrumentality thereof;
- (d) any State of the United States, any U.S. Territory, any political subdivision or wholly owned agency or instrumentality of any one or more of the foregoing;
- (e) any organisation exempt from taxation under section 501(a) of the Internal Revenue Code (the "Code") or certain individual retirement plans defined in section 7701(a)(37) of the Code;
- (f) any bank as defined in section 581 of the Code;

⁵ Measures (a) to (b) are not alternative options, but are cascading measures, with each to be used where the previous measure has been applied and has not identified a beneficial owner.

⁶ A controlling ownership interest depends on the ownership structure of the company. It may be based on a threshold, e.g., any person owning more than a certain percentage of the company (e.g. 25%).

- (g) any real estate investment trust as defined in section 856 of the Code;
- (h) any regulated investment company defined in section 851 of the Code or any entity registered with the U.S. Securities and Exchange Commission under the Investment Company Act of 1940;
- (i) any common trust fund as defined in section 584(a) of the Code;
- (j) any trust that is exempt from tax under section 664(c) of the Code or that is described in 4947(a)(1) of the Code;
- (k) a dealer in securities, commodities, or derivative financial instruments that is registered as such under the laws of the United States or any State;
- (l) a broker as defined in section 6045(c) of the Code; or
- (m) any tax-exempt trust under a plan that is described in section 403(b) or section 457(g) of the Code

"Substantial U.S. Owner" (as defined in Regulations section 1.1473-1(b)) means generally:

- (a) with respect to any foreign corporation, any Specified U.S. Person that owns, directly or indirectly, more than 10% of the stock of such corporation (by vote or value);
- (b) with respect to any foreign partnership, any Specified U.S. Person that owns, directly or indirectly, more than 10% of the profits interests or capital interests in such partnership; and
- (c) in the case of a trust–
 - i) any Specified U.S. Person treated as an owner of any portion of the trust under sections 671 through 679 of the IRC; and
 - ii) any Specified U.S. Person that holds, directly or indirectly, more than 10% of the beneficial interests of the trust.

"U.S. Person" means a U.S. citizen or resident individual, a partnership or corporation organized in the United States or under the laws of the United States or any State thereof, a trust if (i) a court within the United States would have authority under applicable law to render orders or judgments concerning substantially all issues regarding administration of the trust, and (ii) one or more U.S. persons have the authority to control all substantial decisions of the trust, or an estate of a descendant who is a citizen or resident of the United States. Refer to the U.S. Internal Revenue Code for further interpretation.

EXHIBIT B
CRS DEFINITIONS

"Account Holder" means the person listed or identified as the holder of a Financial Account by the Financial Institution that maintains the account. A person, other than a Financial Institution, holding a Financial Account for the benefit or account of another person as agent, custodian, nominee, signatory, investment advisor, or intermediary, is not treated as holding the account for purposes of the Common Reporting Standard, and such other person is treated as holding the account. In the case of a Cash Value Insurance Contract or an Annuity Contract, the Account Holder is any person entitled to access the Cash Value or change the beneficiary of the contract. If no person can access the Cash Value or change the beneficiary, the Account Holder is any person named as the owner in the contract and any person with a vested entitlement to payment under the terms of the contract. Upon the maturity of a Cash Value Insurance Contract or an Annuity Contract, each person entitled to receive a payment under the contract is treated as an Account Holder.

"Active Non-Financial Entity" means any NFE that meets any of the following criteria:

- a) less than 50% of the NFE's gross income for the preceding calendar year or other appropriate reporting period is passive income and less than 50% of the assets held by the NFE during the preceding calendar year or other appropriate reporting period are assets that produce or are held for the production of passive income;
- b) the stock of the NFE is regularly traded on an established securities market or the NFE is a Related Entity of an Entity the stock of which is regularly traded on an established securities market;
- c) the NFE is a Governmental Entity, an International Organisation, a Central Bank, or an Entity wholly owned by one or more of the foregoing;
- d) substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an Entity does not qualify for this status if the Entity functions (or holds itself out) as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes;
- e) the NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE does not qualify for this exception after the date that is 24 months after the date of the initial organisation of the NFE;
- f) the NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganising with the intent to continue or recommence operations in a business other than that of a Financial Institution;
- g) the NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution; or
- h) the NFE meets all of the following requirements:
 - i) it is established and operated in its jurisdiction of residence exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in its jurisdiction of residence and it is a professional organisation, business league, chamber of commerce, labour organisation, agricultural or horticultural organisation, civic league or an organisation operated exclusively for the promotion of social welfare;
 - ii) it is exempt from income tax in its jurisdiction of residence;
 - iii) it has no shareholders or members who have a proprietary or beneficial interest in its income or assets;
 - iv) the applicable laws of the NFE's jurisdiction of residence or the NFE's formation documents do not permit any income or assets of the NFE to be distributed to, or applied for the benefit of, a private person or non-charitable Entity other than pursuant to the conduct of the NFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFE has purchased; and
 - v) the applicable laws of the NFE's jurisdiction of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a Governmental Entity or other non-profit organisation, or escheat to the government of the NFE's jurisdiction of residence or any political subdivision thereof.

"Controlling Person" means the natural persons who exercise direct or indirect control over an entity.

In the case of a trust, such term means the settlor(s), the trustees(s), the protector(s) (if any), the beneficiary(ies) or class(es) of beneficiaries, and any other natural person(s) exercising ultimate effective control over the trust, and in the case of a legal arrangement other than a trust, such term means persons in equivalent or similar positions. The term 'Controlling Persons' shall be interpreted in a manner consistent with the Financial Action Task Force Recommendations ("**FATF**").

FATF Recommendations on Controlling Persons:

Identify the beneficial owners of the customer and take reasonable measures to verify the identity of such persons, through the following information. For legal persons⁷:

- (a) The identity of the natural persons (if any – as ownership interests can be so diversified that there are no natural persons (whether acting alone or together) exercising control of the legal person or arrangement through ownership) who ultimately have a controlling ownership interest⁸ in a legal person; and
- (b) to the extent that there is doubt under (a) as to whether the person(s) with the controlling ownership interest are the beneficial owner(s) or where no natural person exerts control through ownership interests, the identity of the natural persons (if any) exercising control of the legal person or arrangement through other means.
- (c) Where no natural person is identified under (a) or (b) above, financial institutions should identify and take reasonable measures to verify the identity of the relevant natural person who holds the position of senior managing official.

⁷ Measures (a) to (b) are not alternative options, but are cascading measures, with each to be used where the previous measure has been applied and has not identified a beneficial owner.

⁸ A controlling ownership interest depends on the ownership structure of the company. The threshold in respect of a legal person is direct or indirect ownership or control of 10% (a higher threshold of 25% may apply in some situations) or more of the shares or voting rights in the legal person, being the threshold specified by the Anti-Money Laundering Regulations in Jersey.

"Financial Institution" means a Custodial Institution, a Depository Institution, an Investment Entity, or a Specified Insurance Company, where:

- (a) **"Custodial Institution"** means any entity that holds, as a substantial portion of its business, financial assets for the account of others. An entity holds financial assets for the account of others as a substantial portion of its business if the entity's gross income attributable to the holding of financial assets and related financial services equals or exceeds 20% of the Entity's gross income during the shorter of: (i) the three year period that ends on 31 December (or the final day of a non-calendar year accounting period) prior to the year in which the determination is being made; or (ii) the period during which the entity has been in existence;
- (b) **"Depository Institution"** means any entity that accepts deposits in the ordinary course of a banking or similar business;
- (c) **"Investment Entity"** means any entity:
 - (A) that primarily conducts as a business one or more of the following activities or operations for or on behalf of a customer:
 - i) trading in money market instruments (cheques, bills, certificates of deposit, derivatives, etc.); foreign exchange; exchange, interest rate and index instruments; transferable securities; or commodity futures trading;
 - ii) individual and collective portfolio management; or
 - iii) otherwise investing, administering, or managing Financial Assets or money on behalf of other persons; or
 - (B) the gross income of which is primarily attributable to investing, reinvesting, or trading in Financial Assets, if the entity is managed by another entity that is a Depository Institution, a Custodial Institution, a Specified Insurance Company, or an Investment Entity described in limb (A) of this definition.

An entity is treated as primarily conducting as a business one or more of the activities described in limb (A), or an entity's gross income is primarily attributable to investing, reinvesting, or trading in Financial Assets for purposes of limb (B) if the entity's gross income attributable to the relevant activities equals or exceeds 50% of the entity's gross income during the shorter of: (i) the three-year period ending on 31 December of the year preceding the year in which the determination is made; or (ii) the period during which the entity has been in existence. The term "Investment Entity" does not include an entity that is an Active Non-Financial Foreign Entity because it meets any of the criteria in subparagraphs d) through (g) of the definition of Active NFE.

The preceding paragraph shall be interpreted in a manner consistent with similar language set forth in the definition of "financial institution" in the Financial Action Task Force Recommendations; and

- (d) **"Specified Insurance Company"** means any entity that is an insurance company (or the holding company of an insurance company) that issues, or is obligated to make payments with respect to, a Cash Value Insurance Contract or an Annuity Contract.

"Non-Financial Entity" or **"NFE"** means any Entity that is not a Financial Institution.

"Non-Participating Jurisdiction" means a jurisdiction that is not a Participating Jurisdiction.

"Non-Reporting Financial Institution" means any Financial Institution that is:

- (a) a Governmental Entity, International Organisation or Central Bank, other than with respect to a payment that is derived from an obligation held in connection with a commercial financial activity of a type engaged in by a Specified Insurance Company, Custodial Institution, or Depository Institution;
- (b) a Broad Participation Retirement Fund; a Narrow Participation Retirement Fund; a Pension Fund of a Governmental Entity, International Organisation or Central Bank; or a Qualified Credit Card Issuer;
- (c) any other Entity that presents a low risk of being used to evade tax, has substantially similar characteristics to any of the Entities described in subparagraphs B(1)(a) and (b), and is defined in domestic law as a Non-Reporting Financial Institution, provided that the status of such Entity as a Non-Reporting Financial Institution does not frustrate the purposes of the Common Reporting Standard;
- (d) an Exempt Collective Investment Vehicle; or
- (e) a trust to the extent that the trustee of the trust is a Reporting Financial Institution and reports all information required to be reported pursuant to Section I with respect to all Reportable Accounts of the trust.

"Participating Jurisdiction" means a jurisdiction (i) with which an agreement is in place pursuant to which it will provide the information specified in Section I (of the CRS), and (ii) which is identified in a published list.

"Participating Jurisdiction Financial Institution" means (i) any Financial Institution that is resident in a Participating Jurisdiction, but excludes any branch of that Financial Institution that is located outside such Participating Jurisdiction, and (ii) any branch of a Financial Institution that is not resident in a Participating Jurisdiction, if that branch is located in such Participating Jurisdiction.

"Passive Non-Financial Entity" means any: (i) Non-Financial Entity that is not an Active Non-Financial Entity; or (ii) an Investment Entity described in limb B (or subparagraph A(6)(b) of the Standard) of the definition of Investment Entity that is not a Participating Jurisdiction Financial Institution.

"Related Entity" means an entity related to another entity because (i) either entity controls the other entity; (ii) the two entities are under common control; or (iii) the two entities are Investment Entities described limb B of the definition of Investment Entity, are under common management, and such management fulfils the due diligence obligations of such Investment Entities. For this purpose, control includes direct or indirect ownership of more than 50% of the vote and value in an Entity.

Beneficial Owner/Controller Details

Continuation Sheet (if required)

UBO/Controller 3

Full Legal Name (inc. Title)			
Principal Residential Address		All previous or maiden names <i>(where applicable)</i>	
Nationality <i>(if you have more than one nationality, please list all)</i>		Gender	
Date of Birth		Town and Country of Birth	
Passport Number		PEP status	<input type="checkbox"/> Yes / <input type="checkbox"/> No
Connection	<input type="checkbox"/> Ultimate Beneficial Owner / <input type="checkbox"/> Director / <input type="checkbox"/> Other <i>(please specify)</i>		

UBO/Controller 4

Full Legal Name (inc. Title)			
Principal Residential Address		All previous or maiden names <i>(where applicable)</i>	
Nationality <i>(if you have more than one nationality, please list all)</i>		Gender	
Date of Birth		Town and Country of Birth	
Passport Number		PEP status	<input type="checkbox"/> Yes / <input type="checkbox"/> No
Connection	<input type="checkbox"/> Ultimate Beneficial Owner / <input type="checkbox"/> Director / <input type="checkbox"/> Other <i>(please specify)</i>		

UBO/Controller 5

Full Legal Name (inc. Title)			
Principal Residential Address		All previous or maiden names <i>(where applicable)</i>	
Nationality <i>(if you have more than one nationality, please list all)</i>		Gender	
Date of Birth		Town and Country of Birth	
Passport Number		PEP status	<input type="checkbox"/> Yes / <input type="checkbox"/> No
Connection	<input type="checkbox"/> Ultimate Beneficial Owner / <input type="checkbox"/> Director / <input type="checkbox"/> Other <i>(please specify)</i>		

UBO/Controller 6

Full Legal Name (inc. Title)			
Principal Residential Address		All previous or maiden names <i>(where applicable)</i>	
Nationality <i>(if you have more than one nationality, please list all)</i>		Gender	
Date of Birth		Town and Country of Birth	
Passport Number		PEP status	<input type="checkbox"/> Yes / <input type="checkbox"/> No
Connection	<input type="checkbox"/> Ultimate Beneficial Owner / <input type="checkbox"/> Director / <input type="checkbox"/> Other <i>(please specify)</i>		

Annex F

KYC Certification Guidance

Photo ID Certification

I, (name of certifier), hereby certify that I have met (name of individual) and this is a true and accurate copy of the relevant part of their identification document, the original of which I have seen. Furthermore, I certify that the photograph contained therein bears a true likeness to the individual concerned.

- a) Name
- b) Capacity/Position
- c) Organisation
- d) Organisation address
- e) Email address
- f) Telephone number
- g) Date

Address Verification Certification

I, (name of certifier), hereby certify that this is a true and accurate copy of this document, the original of which I have seen.

- a) Name
- b) Capacity/Position
- c) Organisation
- d) Organisation address
- e) Email address
- f) Telephone number
- g) Date

CERTIFICATION OF DOCUMENTATION

If you are meeting with a representative of Maples prior to the establishment of the relationship you may bring the originals of these documents to that meeting and we will arrange for copies to be made (subject to the availability of reproduction facilities at the meeting venue). Please note that we are not able to take appropriate copies of ID documents where the individual concerned is not present.

If you are not meeting with a representative of Maples prior to the establishment of the relationship then you should arrange for a copy of the documents that are requested in section E of this form to be certified as set out below:-

Identity Documents (Passports, National ID Card, Driving Licence)

Wherever possible please ask your certifier to use the template printed on page 8 of this document to facilitate the certification of your identity documentation (such as your passport or national ID card). If you are unable to use the template, please ensure that the certifier uses the wording contained in the template or uses similar wording which confirms the following on face of the copy:-

That:

- the document is a true copy of the original
- they have seen the original document and the individual concerned at the same time
- that the likeness in the photograph bears a true likeness to the holder of the document.

The certifier should then provide their details as set out below. Such details may form part of an official stamp or seal but please ensure that all of the requested information is provided.

Corporate documents, Proof of Address, or any other certified evidence

Please ensure the wording below is copied onto the face of the copy documents that are provided:

"I hereby certify that this is a true and accurate copy of this document, the original of which I have seen."

The certifier should then provide their details as set out below. Such details may form part of an official stamp or seal but please ensure that all of the requested information is provided.

Details of the Certifier

Please then ensure the certifier includes the following information as part of their certification:

Full Name
Professional capacity and/or Rank
Organisation
Address
Telephone number or email address
Date

Who can certify my documents?

When providing copy documents these must be certified by a third party who is subject to professional rules of conduct. Suitable certifiers are determined by local legislators and can therefore vary from country to country. Examples of suitable certifiers include:

- a lawyer, actuary or accountant who must be a current member of a relevant professional body
- a member of the judiciary, a senior civil servant or a serving police or customs officer
- an officer of an embassy, consulate or high commission of the country or territory of issue of documentary evidence of identity
- an individual who is a member of a professional body that sets and enforces ethical standards
- a director, officer or manager of a regulated financial services business in an equivalent[†] jurisdiction.

Please note that we cannot accept documents which have been self-certified and attested by a notary public. Where the services of a notary public are used, and that notary public does not also meet the requirements of a suitable certifier (above) the notarisation will need to be legalized in accordance with the Hague Convention of 5 October 1961.

Method of Delivery

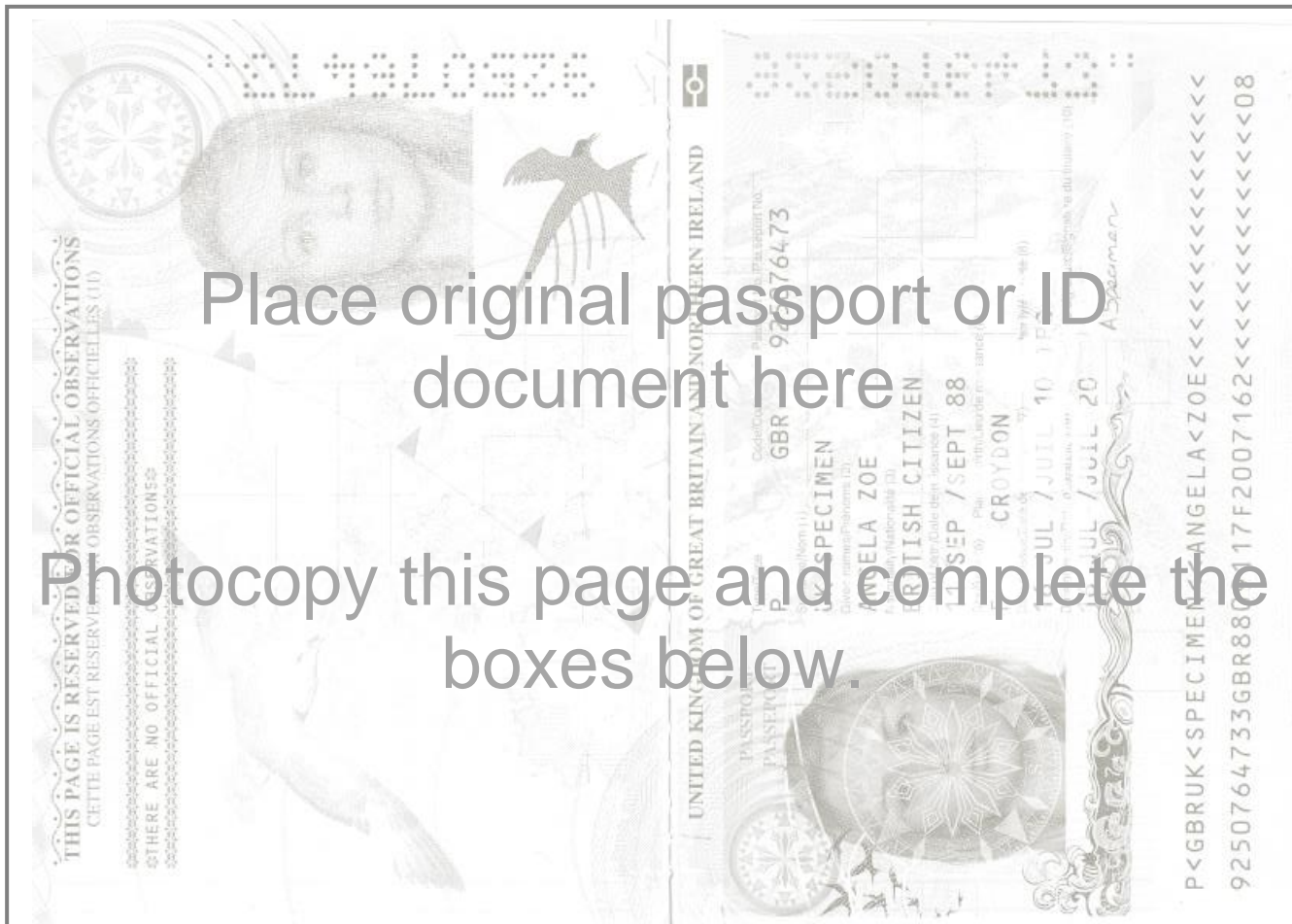
Under local regulations, we are required to have had sight of the original document or certified true copy bearing an original ("wet-ink") signature. We can accept digital certifications electronically as a wet-ink original **BUT ONLY** where the digital signature carries some form of certification, such as the use of AdobeSign, DocuSign or other proprietary electronic signature provider who meets the requirements set out in Regulation (EU) No 910/2014 ("**eIDAS**") on electronic identification and trust services for electronic transactions.

We are not able to accept electronic documents which only bear an image or photograph of the certifier's signature without also receiving an original signed ("wet-ink") copy by post.

TEMPLATE FOR CERTIFICATION OF IDENTIFICATION DOCUMENTS

Below is a template page to facilitate you providing a copy of your photographic identity document with the correct certification wording.

The copy must clearly show the passport or national ID number, signature and expiry date and any machine-readable code contained within the document. Please endeavour to use the certification page below to assist you in a successful submission. Please ensure the photocopy is a clear reproduction, with the photograph clearly visible.



I hereby certify that I have met _____ and this is a true and accurate copy of the relevant part of their identification document, the original of which I have seen. Furthermore, I certify that the photograph contained therein bears a true likeness to the individual concerned.

Certifier to complete:

Signature		Date
Full Name		Professional Seal or Stamp (if held):
Professional Capacity and/or Rank		
Organisation		
Address		
Telephone Number or Email Address		